

Functional Assessment of Wetlands: Linking Science to Policy in Europe

Edward Maltby

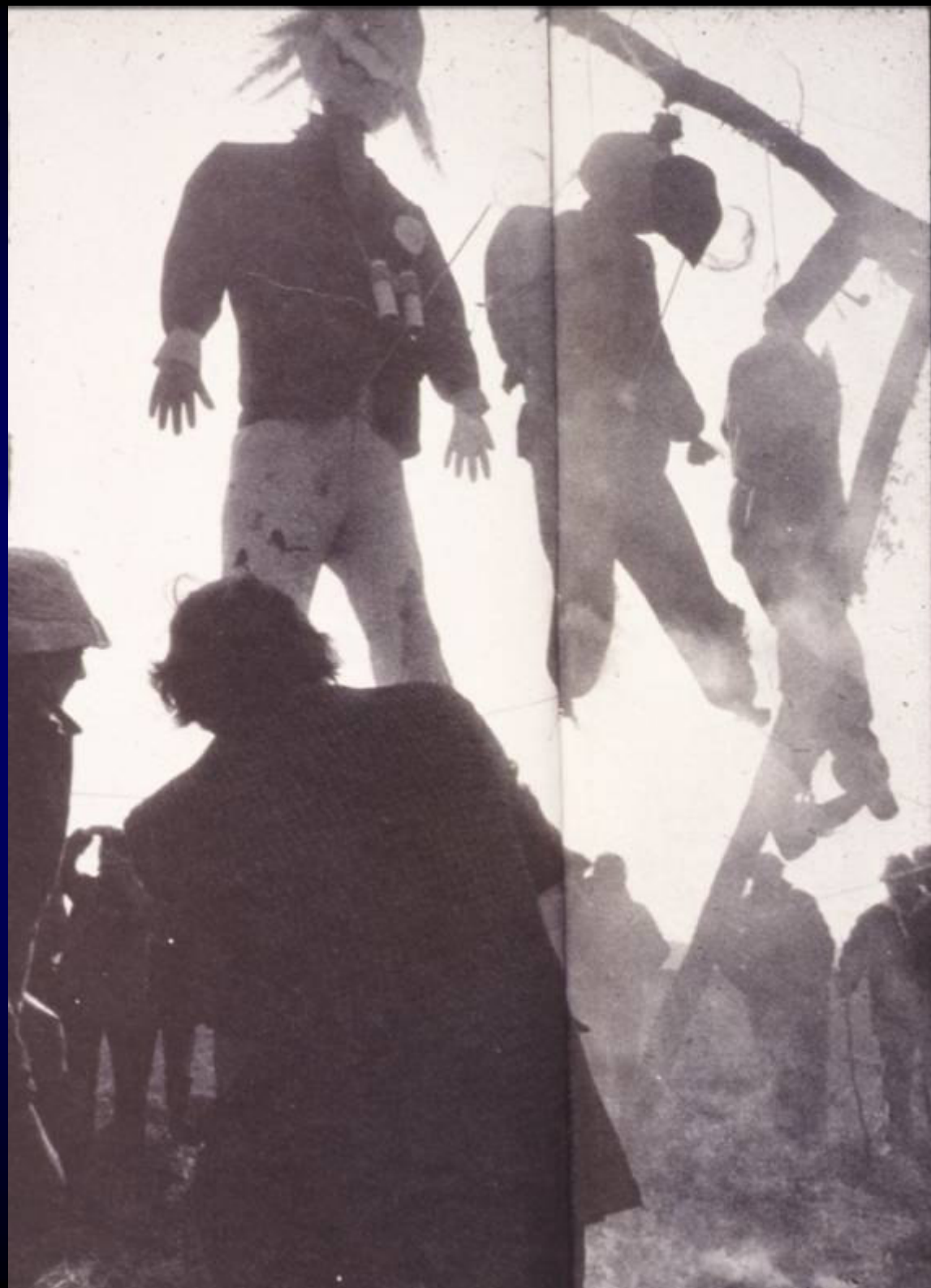
Institute for Sustainable Water, Integrated
Management & Ecosystem Research

SWIMMER

University of Liverpool

Warsaw

September 2005



The separation between

- Nature and people
- Ecology and economics
- Different interest groups
- Research and information

Has not served well the need for sound environmental management

Rationale for functional assessment

- Most knowledge of wetlands is restricted to ‘jewels in the crown’
- Limited data on dynamic processes and interactions
- Science base is inadequate to explain how different wetland ecosystems work
- Wetlands do not all perform the same function, nor are all functions performed to the same degree
- Empirical studies are expensive and time-consuming – so they cannot be conducted at all the wetlands of interest
- Conservation and management of wetlands is more effective if functioning and the effects of alterations can be predicted

Wetland functional assessment had developed in the USA, but techniques could not be applied directly in Europe because:

- Limitations and bias in the science and literature base
- Many European wetlands have been modified by land use and management
- The small size of most European wetlands
- Lack of a strong or specific regulatory framework for wetlands in Europe





European wetlands are often highly modified



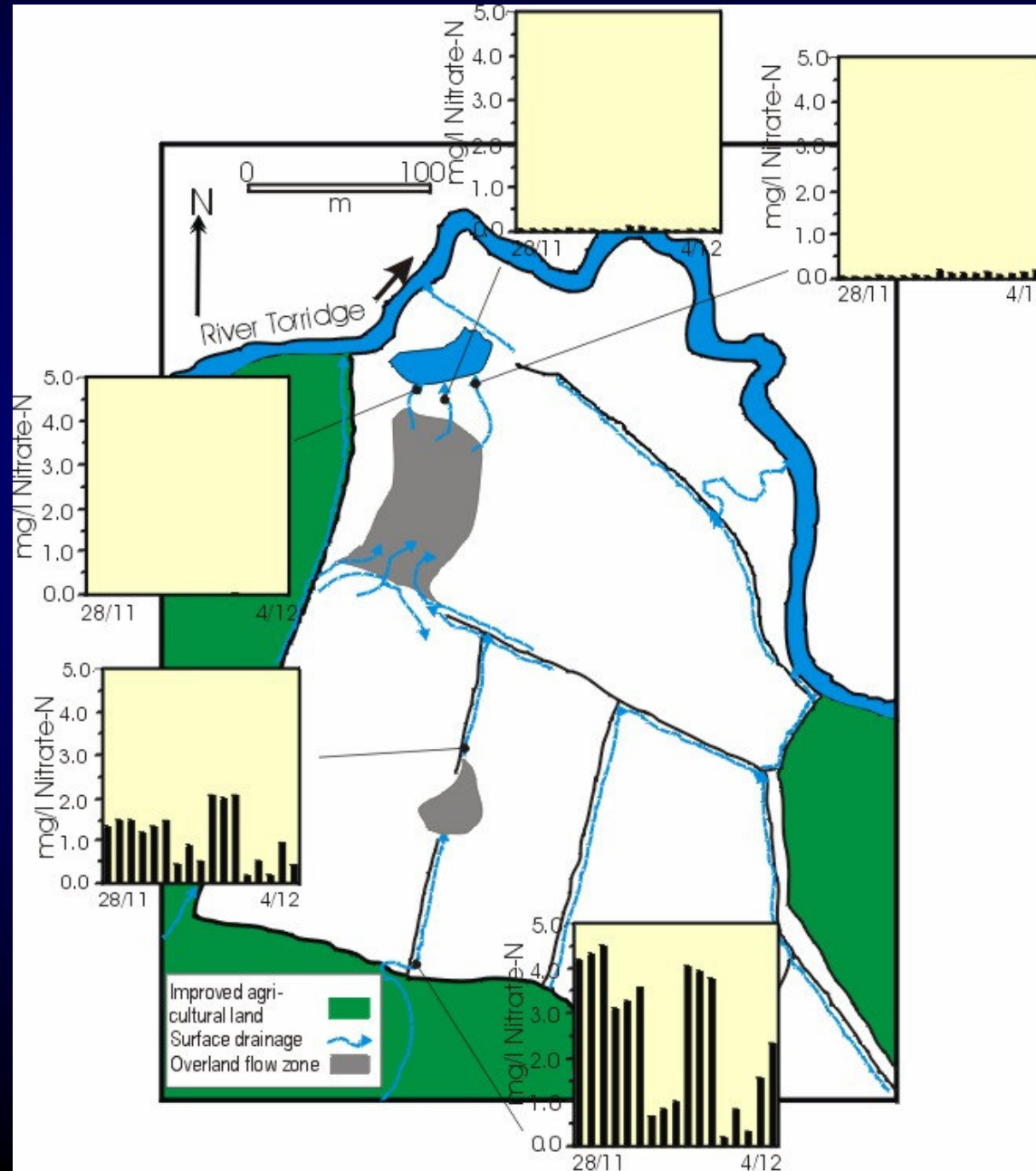
The Development of the Functional Approach

Conservation and management of wetlands using the traditional approach alone has proved insufficient to protect Europe's wetlands from degradation and loss.

- Scientific research has been deficient in range, depth, emphasis or applicability.
- Policies have been weak in competition with other societal priorities.
- The mechanisms for implementation of scientific advances or policy objectives has been lacking.
- The greater part of the wetland resource lies outside formal networks of protected areas.

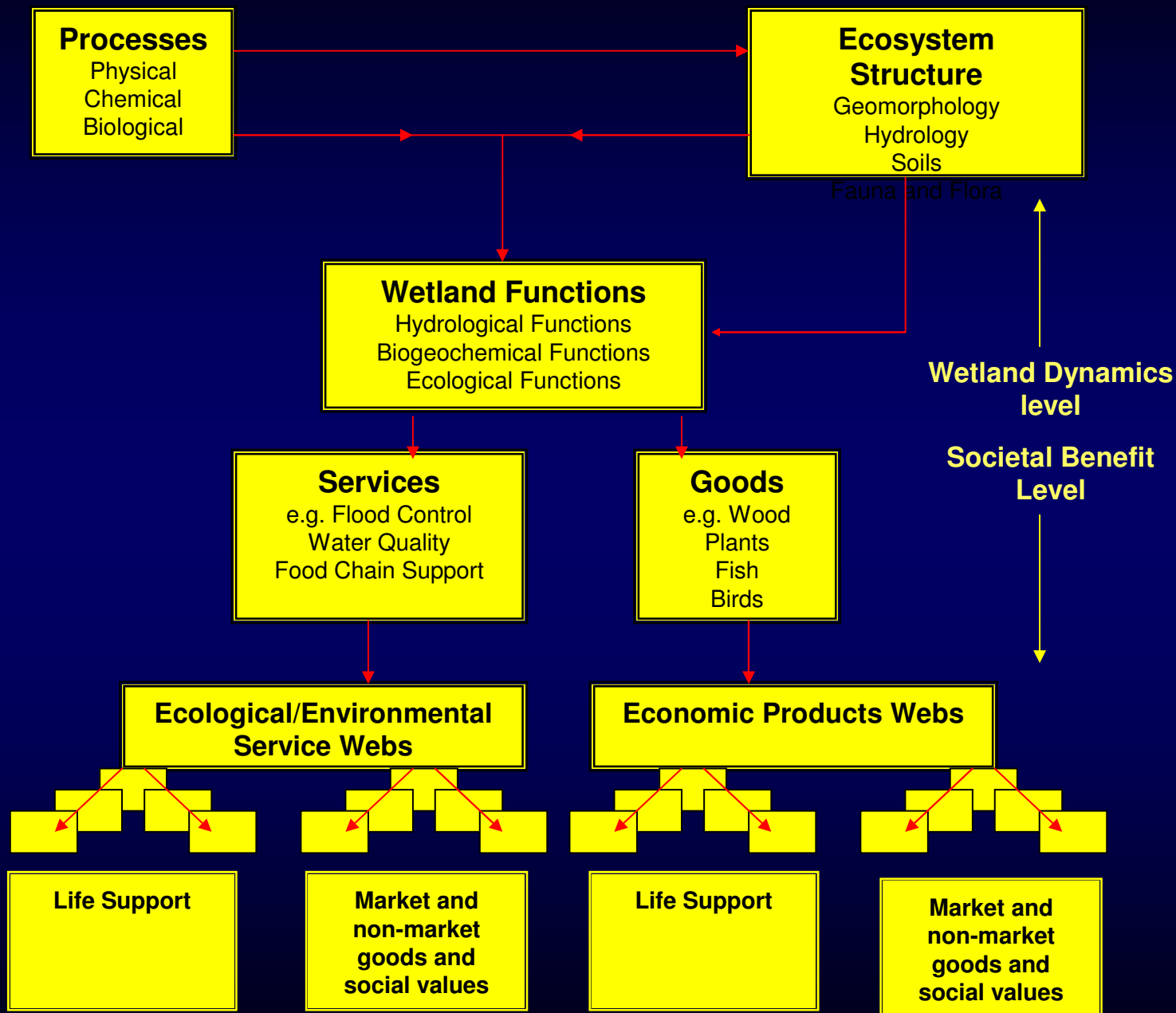
Incongruity between Science and Policy

Kismeldon Meadows, Devon UK



Wetland processes, functions and values

- **Processes** are physical, chemical and biological reactions and interactions, which are controlled by a variety of factors (controlling variables), which combine within the ecosystem structure, allowing wetlands to provide...
- **Functions**, which provide environmentally beneficial **goods** (such as timber and fish) and **services** (such as flood control and nutrient removal) and, together with **attributes** (such as biodiversity and cultural heritage), can be given...
- **Values** by society ... *recognises the fact that the functions performed by a wetland take place with or without the presence of society, usually as part of a self sustaining ecosystem (intrinsic features), whereas wetland values require the presence of society (extrinsic features), and these will vary over time and space while the functions may not.*



The Functional Analysis Procedures

Wetland ecosystems – extremely diverse, thus not all perform same functions and to the same degree.

Procedures/methods are needed to:

- *predict the likelihood of functioning for a particular wetland*
- *assess the magnitude of functioning for a particular wetland*
- *assess to what extent a function may provide goods and/or services (economic value)*
- *evaluate the extent that functioning may be impacted on (management scenarios).*

Requirements for a wetland evaluation methodology:

- *To aid appropriate decision-making*
- *Legislation implementation*
- *Wetland-functioning protection*
- *Rapid, user-friendly assessment (detailed evaluation - time-consuming/resource intensive)*

The Functional Analysis Procedures

Developed concurrently with the hydrogeomorphic classification of wetlands Brinson (1993) to assess the relationship between ecosystem structure and function by translating physical properties into wetland functions.

The underlying principle of the procedures is that a basic functional unit: a hydrogeomorphic unit (HGMU) can be defined in wetlands.

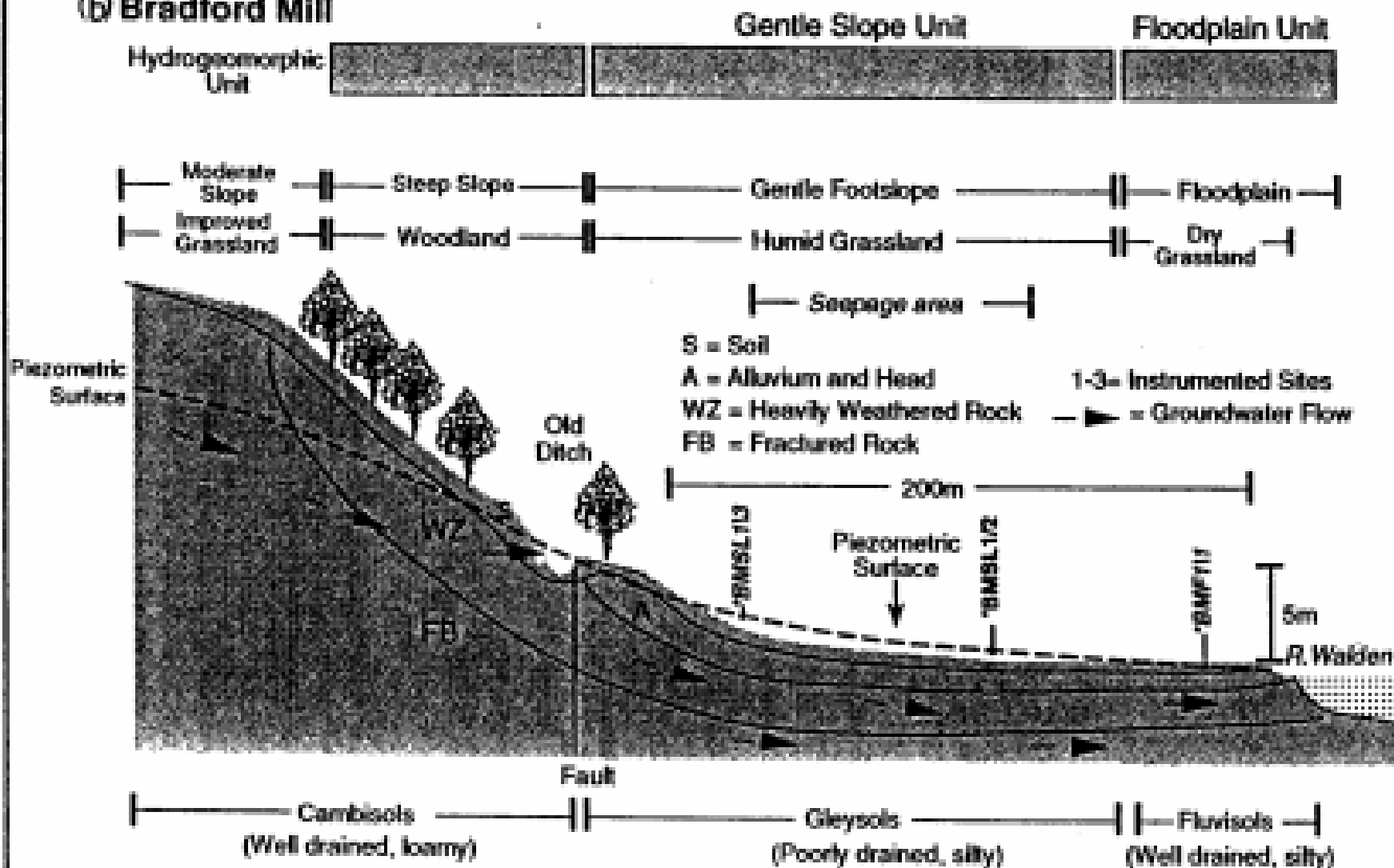
An area of homogenous geomorphology, hydrology and/or hydrogeology, and under normal conditions homogenous soil (Maltby et al., 1996).

Vegetation is not used as a defining characteristic due to the significant influence of historic and current land-use, particularly in Europe. However, vegetation is described for each HGMU as part of the procedures.

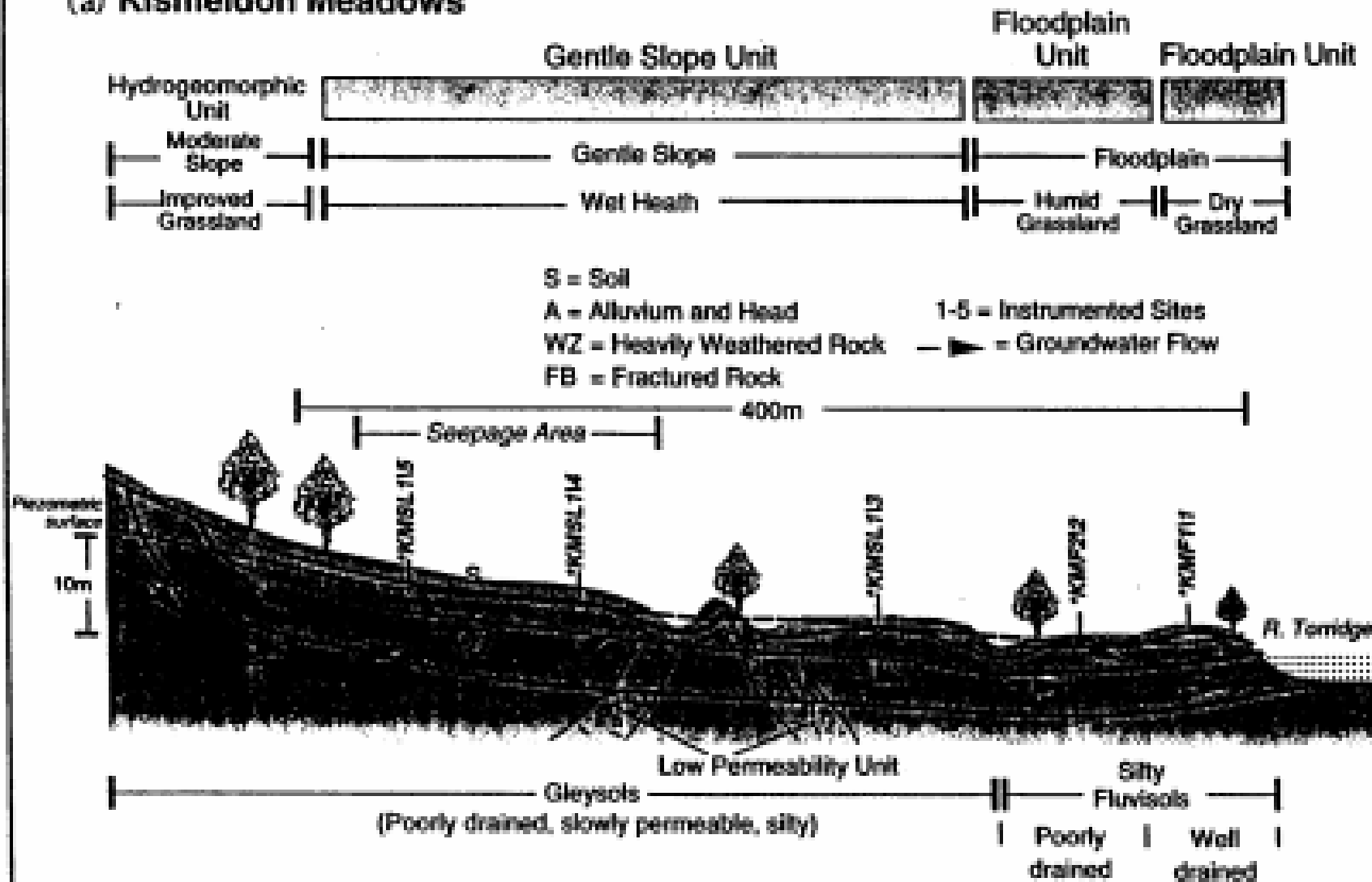
Functional assessment procedures for European river marginal and lake marginal wetland ecosystems



(b) Bradford Mill



(a) Kismeldon Meadows



The Functional Analysis Procedures

The development of a methodology and procedures for evaluating the functioning of European wetland ecosystems based on detailed process studies – an expert approach.

- *For a variety of non-expert users*
- *Rapid implementation*

Developed over three projects

- *Functional Analysis of European Wetlands - FAEWE (1991-1994)*
- *FAEWE II (1994-1999)*
- *Procedures for the Operationalisation of Techniques for the Functional Analysis of European Wetland Ecosystems - PROTOWET (1996-1999)*

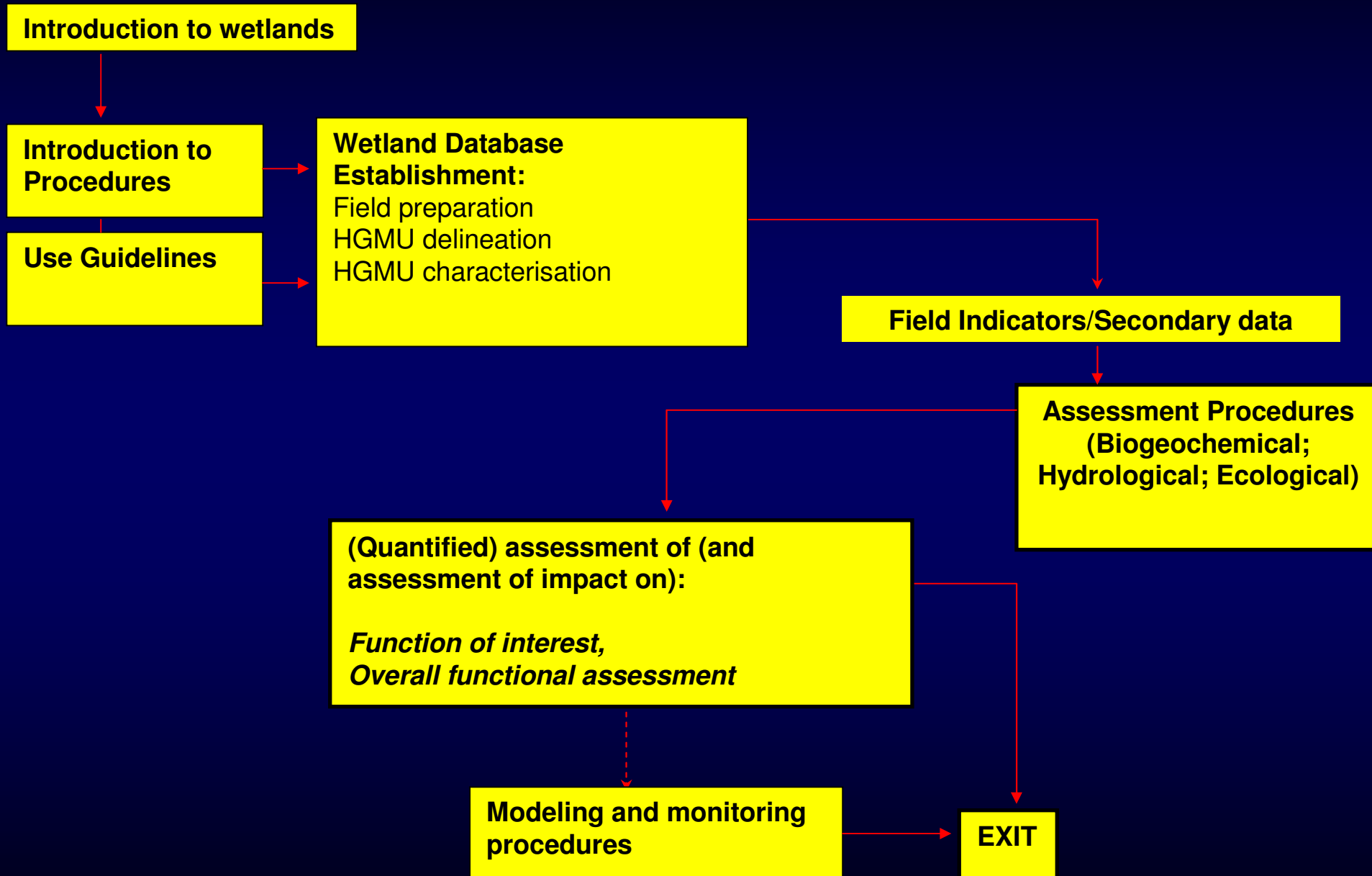
The Functional Analysis Procedures

The Procedures are based around the identification and characterisation of HGMUs using field and desk-based information.

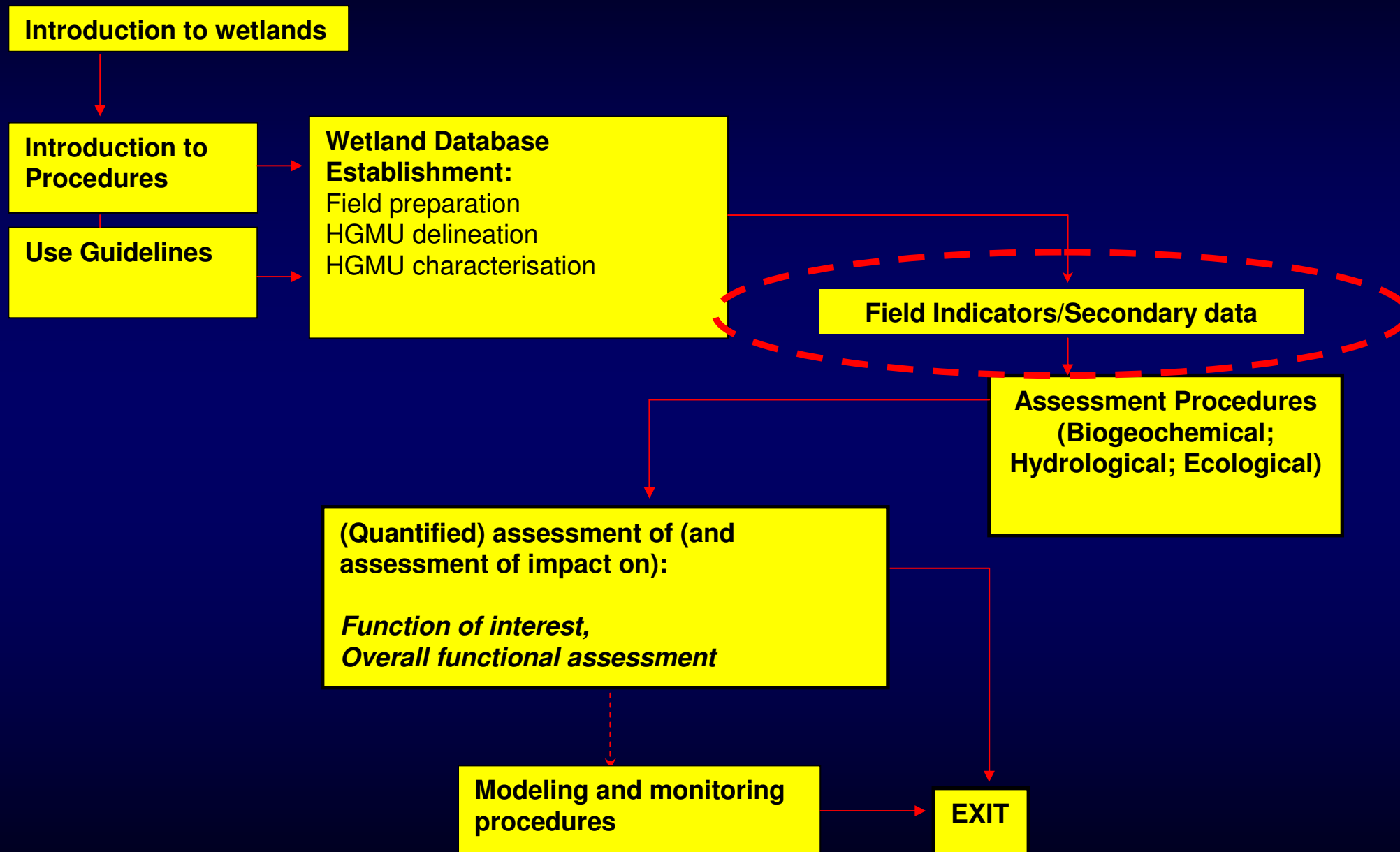
They provide simple but detailed explanation of how to do this and structure the information into a powerful database.

Functional assessment then interrogates this database and derives an output through detailed decision trees for each function.

Functional Analysis Procedures / (TECWET layout)



Functional Analysis Procedures / (TECWET layout)



Biogeochemical functions (water quality)

- **Nutrient retention** (five processes)
- **Nutrient export** (four processes)
- **In situ carbon retention** (one process)
- **Trace element storage** (three processes)
- **Trace element export** (three processes)
- **Organic carbon concentration control**
(two processes)

Wetland functions and processes (biogeochemical)

Biogeochemical functions (water quality)	Processes supporting functions
Nutrient retention (N and P)	Plant uptake
	Storage in soil organic matter
	Adsorption of N as ammonium
	Adsorption and precipitation of P in the soil
	Retention of particulate nutrients
Nutrient export	Gaseous export of N by: i) denitrification ii) ammonia volatilisation
	Nutrient (N and P) export through land use management
	Nutrient (N and P) export through physical processes

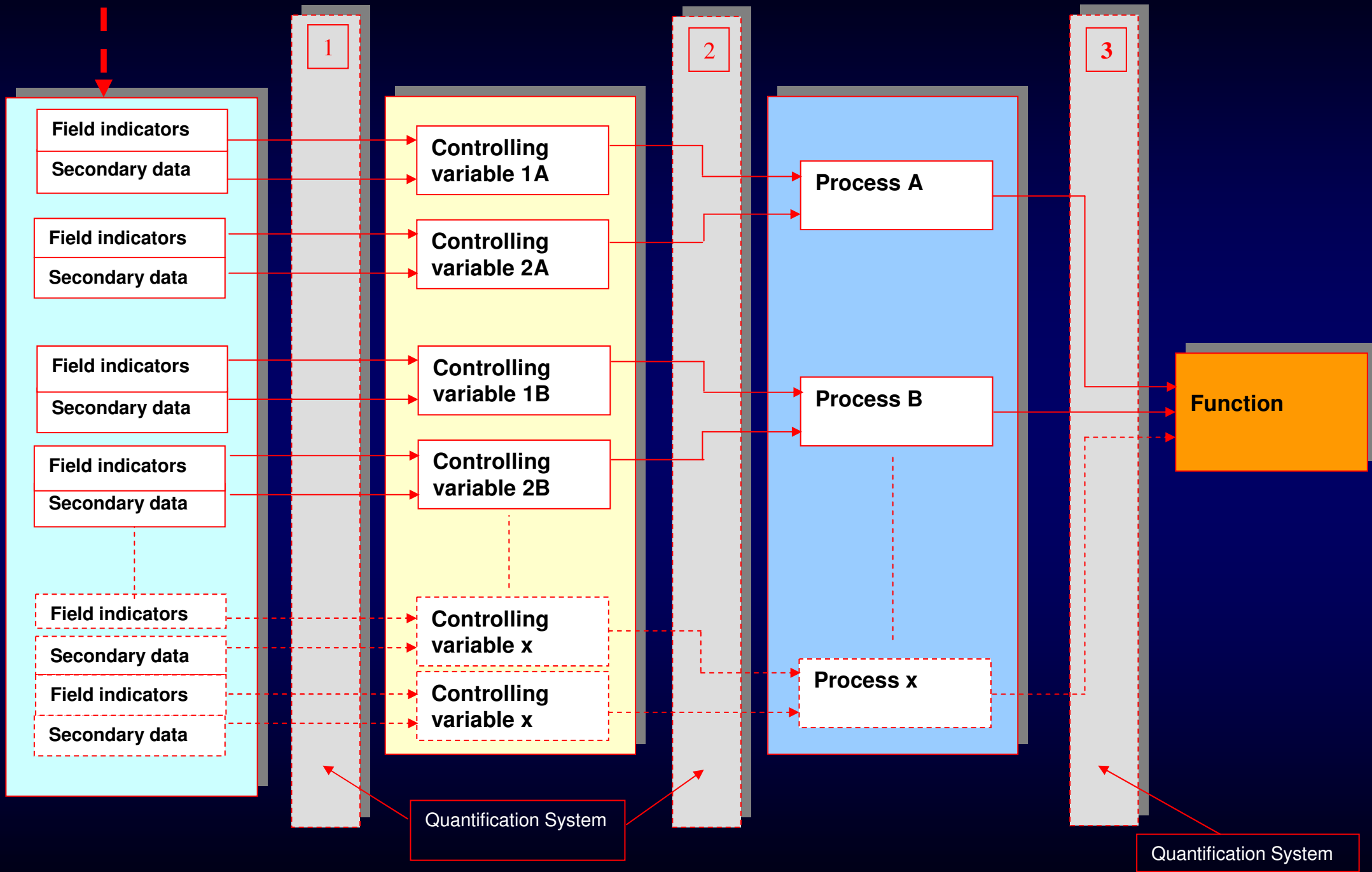
Functional Analysis

Achieved through the interrogation of Controlling Variables, which use field indicators and secondary data determined in the Wetland database.

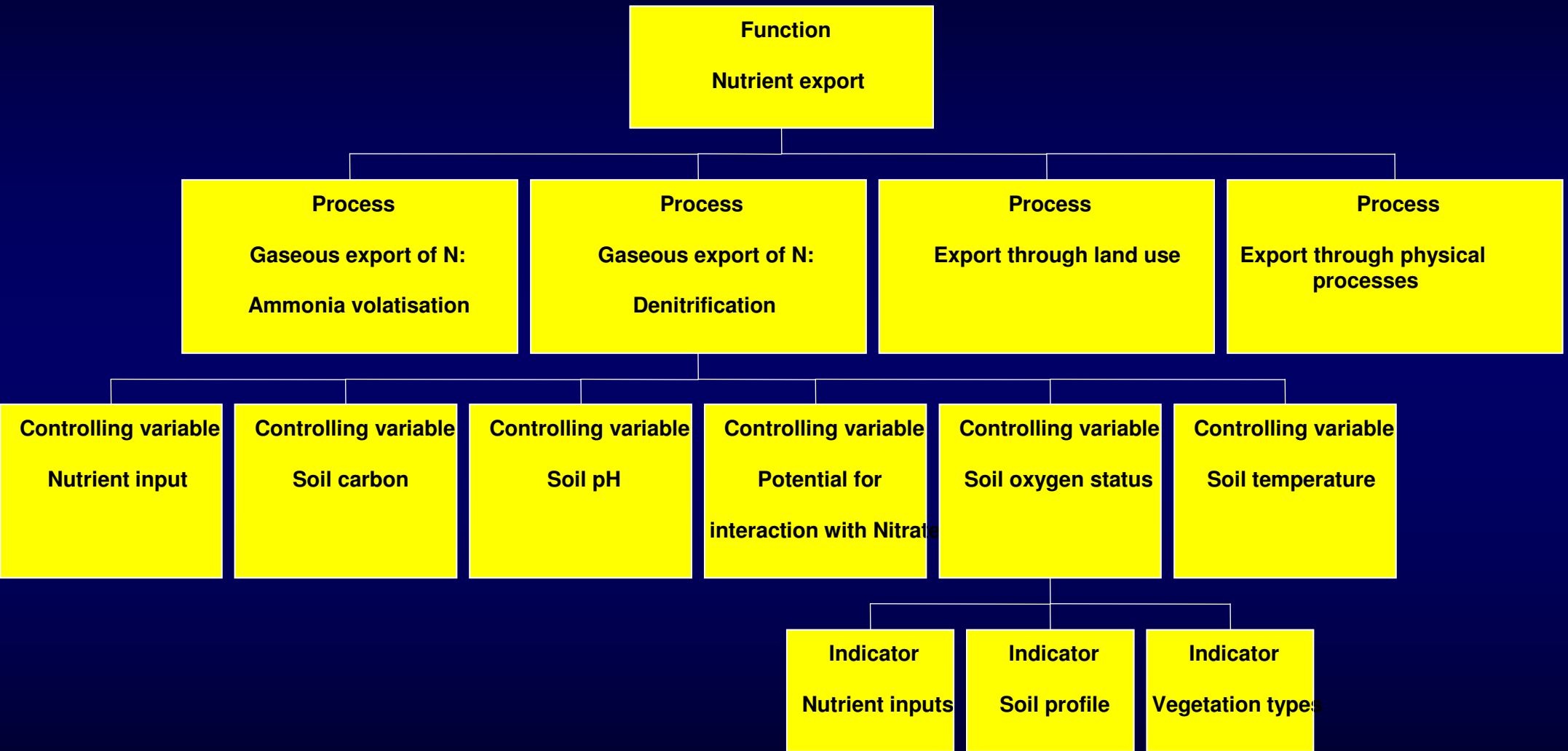
Interrogation is conducted by the user answering questions structured in decision trees within the function.

The answers are combined to give information on the various component processes in the form of a rationale or explanatory statement coupled with a functional analysis outcome.

Detail



Detail of denitrification: process within the function of Nutrient Export



Denitrification Functional Statement - output example

1. The process is definitely being performed

<i>CV1</i>	<i>CV2</i>	<i>CV3</i>	<i>CV4</i>	<i>CV5</i>	<i>CV6</i>	<i>Rationale</i>	<i>C O D E</i>	<i>Quantification</i>
1a 2a	N/A	1c	112 #3	(112 3) (4 5)	1c 2	A direct input of nitrogen (probably containing nitrate) is applied to the surface of the HGMU at least annually. Carbon, soil oxygen, pH and soil temperature are conducive to denitrification. Anaerobic soil conditions or alternation between aerobic and anaerobic soil conditions prevail, which are favourable for denitrification. Significant N ₂ and N ₂ O emissions are likely.	1/b	>10 but < 80 kg N ha ⁻¹ y ⁻¹
1a 2a	N/A	1c	112 #3	5	1c 2	A direct input of nitrogen (probably containing nitrate) is applied to the surface of the HGMU at least annually. Carbon, soil oxygen, pH and soil temperature are conducive to denitrification. Anaerobic soil conditions prevail, which are favourable for denitrification. Because of the predominantly anaerobic soil conditions N ₂ O production is low.	1/b	>10 but < 80 kg N ha ⁻¹ y ⁻¹

Denitrification Functional Statement - output example

2. The process is not (significantly) being performed

<i>CV</i> <i>1</i>	<i>CV</i> <i>2</i>	<i>CV</i> <i>3</i>	<i>CV</i> <i>4</i>	<i>CV</i> <i>5</i>	<i>CV</i> <i>6</i>	<i>Rationale</i>	<i>Code</i>
#(1a-d) 1e	*	*	*	*	*	The HGMU does not receive any nutrient input, or it is uncertain whether the HGMU receives a nutrient input. If other Controlling Variables are conducive, the process can still occur, but only as part of natural nitrogen cycling. Maximum denitrification rates will be around 2 kg N ha ⁻¹ y ⁻¹ .	2
*	*	#1a	*	*	*	Other factors may be suitable but the temperature of the soil will prevent denitrification from occurring at all.	2

Denitrification Functional Statement - output example

3. THE PROCESS IS BEING PERFORMED, BUT THERE ARE CONSTRAINING FACTORS OR UNCERTAINTIES

<i>CV1</i>	<i>CV2</i>	<i>CV3</i>	<i>CV4</i>	<i>CV5</i>	<i>CV6</i>	<i>Rationale</i>	<i>Code</i>
1c (2a12d)	*	*	*	*	*	A nutrient input derived from artificial fertiliser or organic industrial waste is applied directly to the surface of the HGMU, but it is uncertain whether this input contains nitrogen.	1/x
1d (2k12l)	*	*	*	*	*	The HGMU receives an indirect nutrient input derived from artificial fertiliser or organic industrial waste, but it is uncertain whether this input contains nitrogen.	1/x

Assessment Output

The processes outputs are combined to give an output for the assessment of the entire function that is given in the same format as for processes.

Quantified processes are summed.

The output to both process and function assessment is expressed as one of these general statements:

- 1. the process is definitely being performed.*
- 2. the process is not (significantly) being performed.*
- 3. the process is probably being performed but there are constraining factors or uncertainties.*
- 4. the process is definitely not being performed.*

The user is given an output that gives an assessment of the wetland's functioning and the performance of its constituent processes.

Management decisions can be founded upon a process based assessment of functioning.

1. THE PROCESS IS DEFINITELY BEING PERFORMED

If answers to the questions for this function agree with any of the combinations in the table below, then the HGMU is definitely performing the process of carbon retention via accumulation of organic matter, and estimation is given of the amount of carbon retained (based on data collected from empirical studies).

CV1	CV2	CV3	CV4	CV5	Rationale	Code	Quantification
(1 2a 2b 2c 2d 3) 4a (5a 5b)	(1 2 3 4) #5	1 2	1 2	1	The soil has been identified as a peat or organic soil, and presently is not cultivated or extensively mined. Waterlogging and/or inundation predominate, producing anaerobic soil conditions which favour accumulation of organic matter. The vegetation is indicative of a high rate of organic matter accumulation. The landform is indicative of organic matter accumulation or the depressional nature of the landform favours waterlogging and anaerobic soil conditions. Finally climatic conditions favour accumulation of organic matter.	1/a	> 200, < 1000 kgC/ha/y
(1 2a 2b 2c 2d 3) 4a (5a 5b)	(1 2 3 4) #5	3 4	1 2	1	The soil has been identified as a peat or organic soil, and presently is not cultivated or extensively mined. Waterlogging and/or inundation predominate, producing anaerobic soil conditions which favour accumulation of organic matter. The vegetation is indicative of organic matter accumulation. The landform is indicative of organic matter accumulation or the depressional nature of the landform favours waterlogging and anaerobic soil conditions. Finally climatic conditions favour accumulation of organic matter.	1/b	> 100, < 500 kgC/ha/y

Detailed Structure of the TECWET document

Introduction to Wetlands:

Definition of wetlands
 Extent and distribution of wetlands
 The importance of wetlands
 Threats and impacts
 Wetland conservation and management
 Economic assessment of wetlands
 Legislative and regulatory framework

Introduction to Procedures:

Background to the concepts of ecosystems and ecosystem management
 Concepts underpinning the methodology
 Development of the functional assessment procedures
 Applications of the methodology

Database establishment:

Data recording sheets
 Fieldwork preparation
 Delineating hydrogeomorphic units
 Characterising hydrogeomorphic units

The Functional Assessment Procedures:

Hydrological Procedures

Floodwater detention

(function) (nq)

Groundwater recharge

(function) (nq)

Groundwater discharge

(function) (nq)

Sediment retention (function)

(nq)

Not quantified (nq) but rapid quantification methods and advanced modeling techniques are referred to in floodwater detention and in sediment retention.

Biogeochemical Procedures

Nutrient retention (function)

Long-term retention of nutrients (N and P) through plant uptake (process)

Storage of nutrients (N and P) in soil organic matter (process)

Adsorption of N and Ammonium (process) **(nq)**

Adsorption and precipitation of P in the soil (process) **(nq)**

Retention of particulate nutrients (N and P) (process) **(nq)**

Nutrient export (function)

Gaseous export of N (process)

Export of nutrients through vegetation management (process)

Export of nutrients via water and wind mediated processes (process) **(nq)**

In-situ Carbon retention (function)

Organic matter accumulation **(nq)**

Organic Carbon export into surface waters (function)

Physical retention of trace elements (function)

Biogeochemical retention of trace elements (function)

Plant uptake of trace elements (function)

Mixture of quantified and non-quantified (nq) processes and functions.

Ecological Procedures

Ecosystem maintenance (function)

Provision of overall habitat structural diversity (process) **(nq)**

Provision of microsites (macro-invertebrates; fish; herptiles; birds; mammals) (processes) **(nq)**

Provision of plant and habitat diversity (process) **(nq)**

Food web Support (function)

Productivity (process)

Biomass import via physical processes (process)

Biomass import via biological processes (process)

Biomass export via physical processes (process)

Biomass export via biological processes (process)

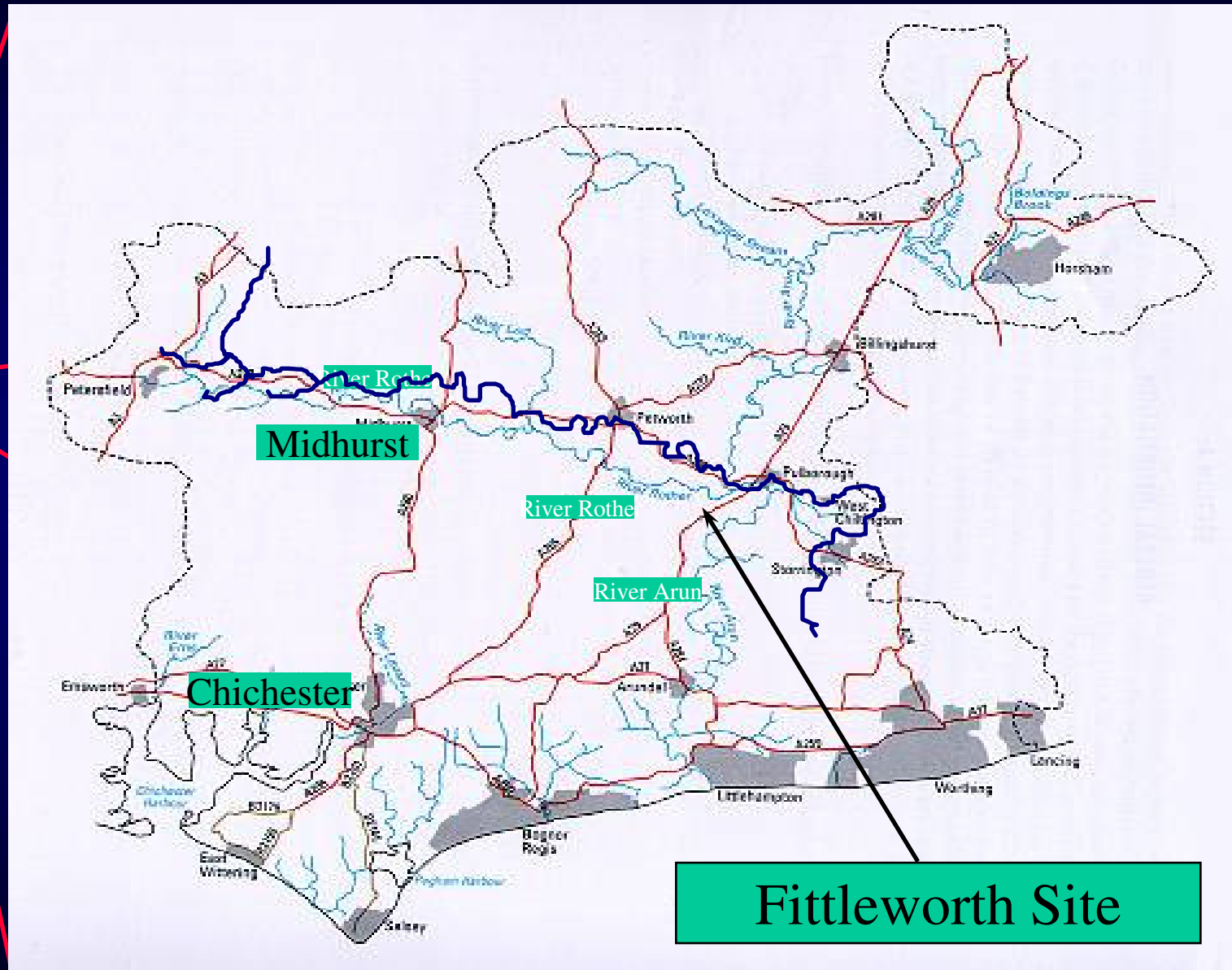
Mixture of a quantified (food web support) function and non-quantified (nq)/semi-quantified (ecosystem maintenance) function.

(Modelling Techniques)

Main Steps in Database Establishment

- BASE MAP PREPARATION FOR SITE TO BE ASSESSED AND THE AREA OF LAND CONTRIBUTING TO IT
- DESK BASED MAPPING OF BACKGROUND INFORMATION
- FIELD MAPPING OF HYDROGEOMORPHIC ASSESSMENT UNITS
- RECORDING OF FUNCTIONAL PREDICTORS FOR EACH ASSESSMENT UNIT

DEMONSTRATION OF DATABASE ESTABLISHMENT



Aerial Photo of Site



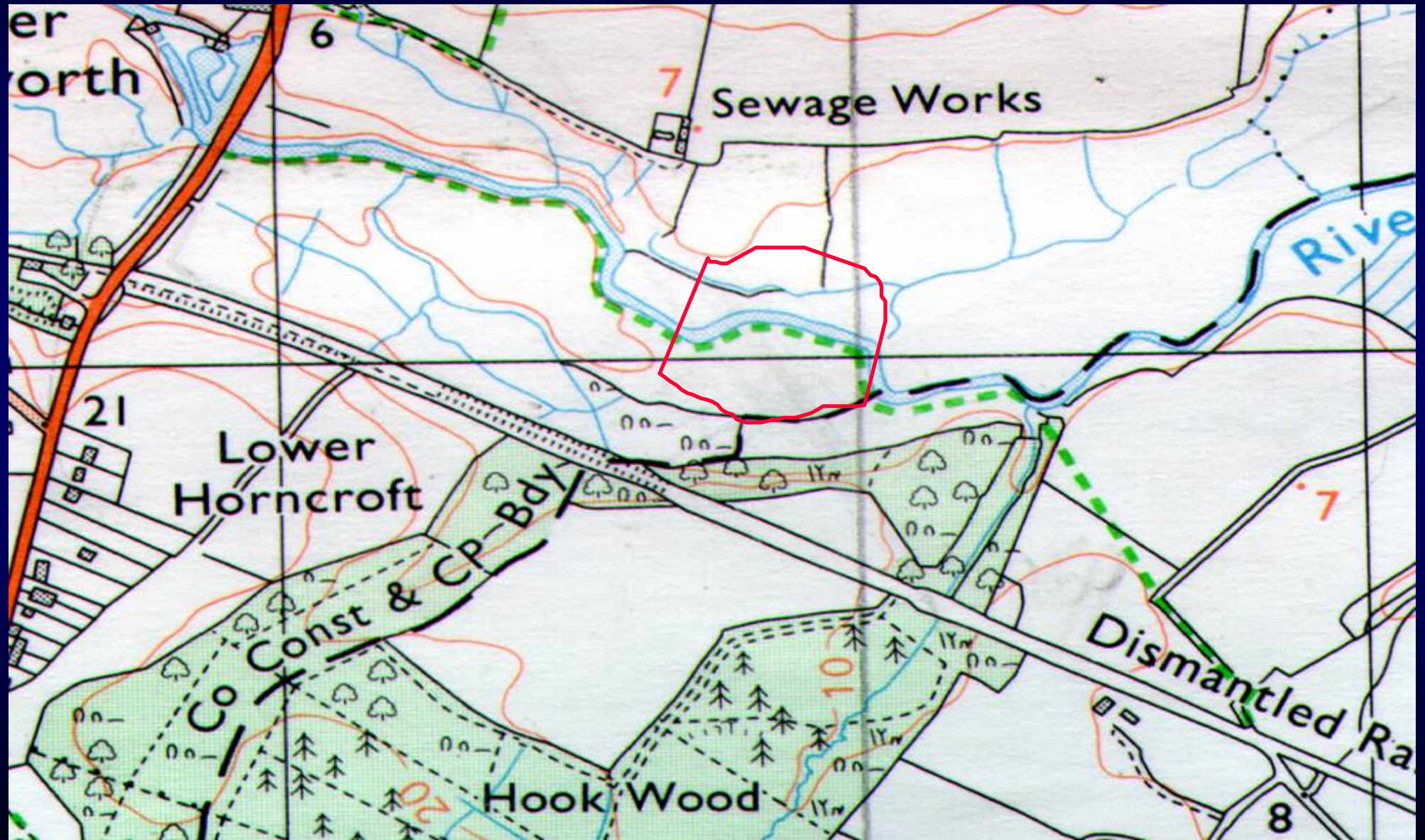
View across demonstration site



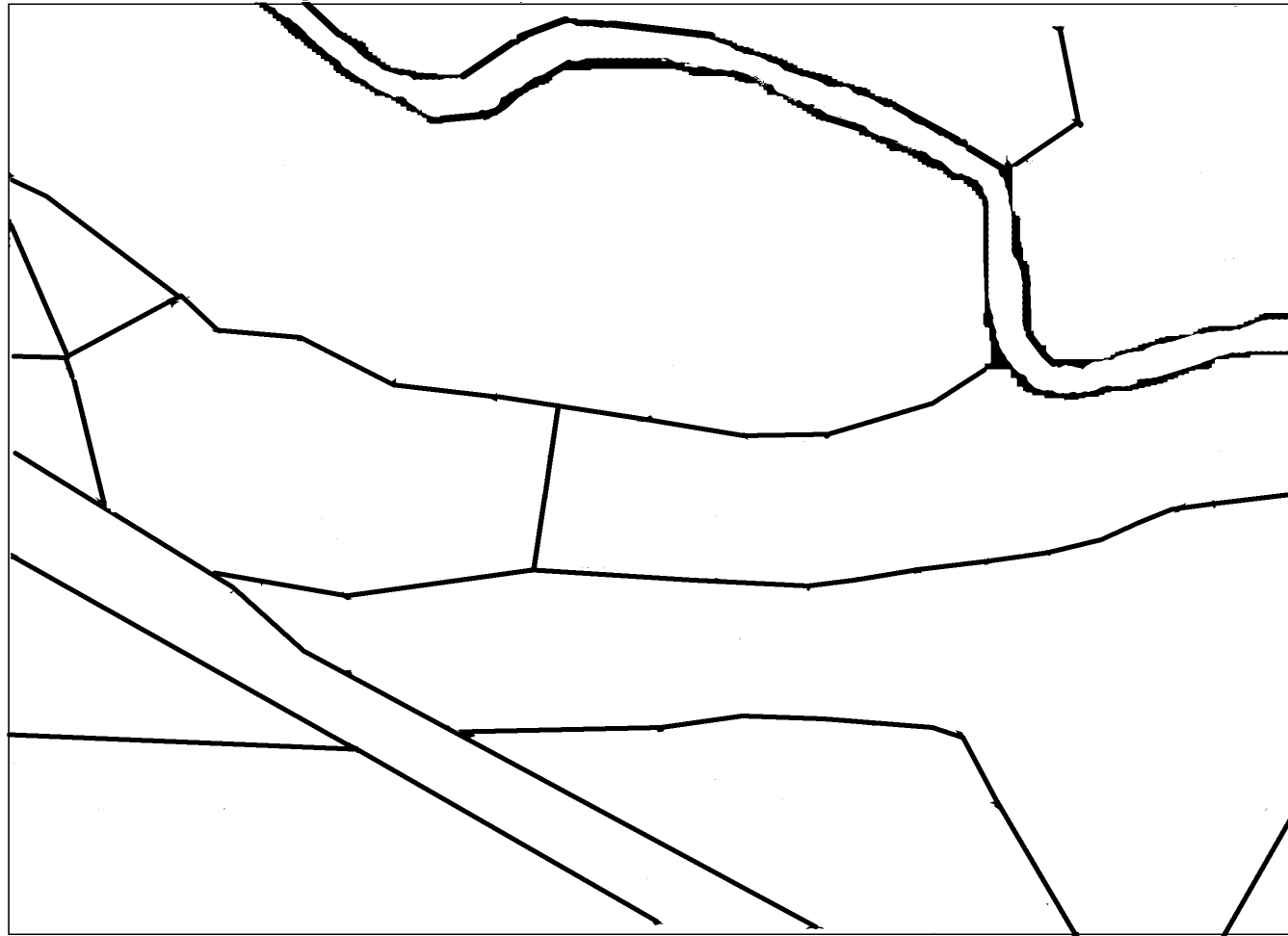
View across demonstration site



River Rother Valley and Fittleworth Site.

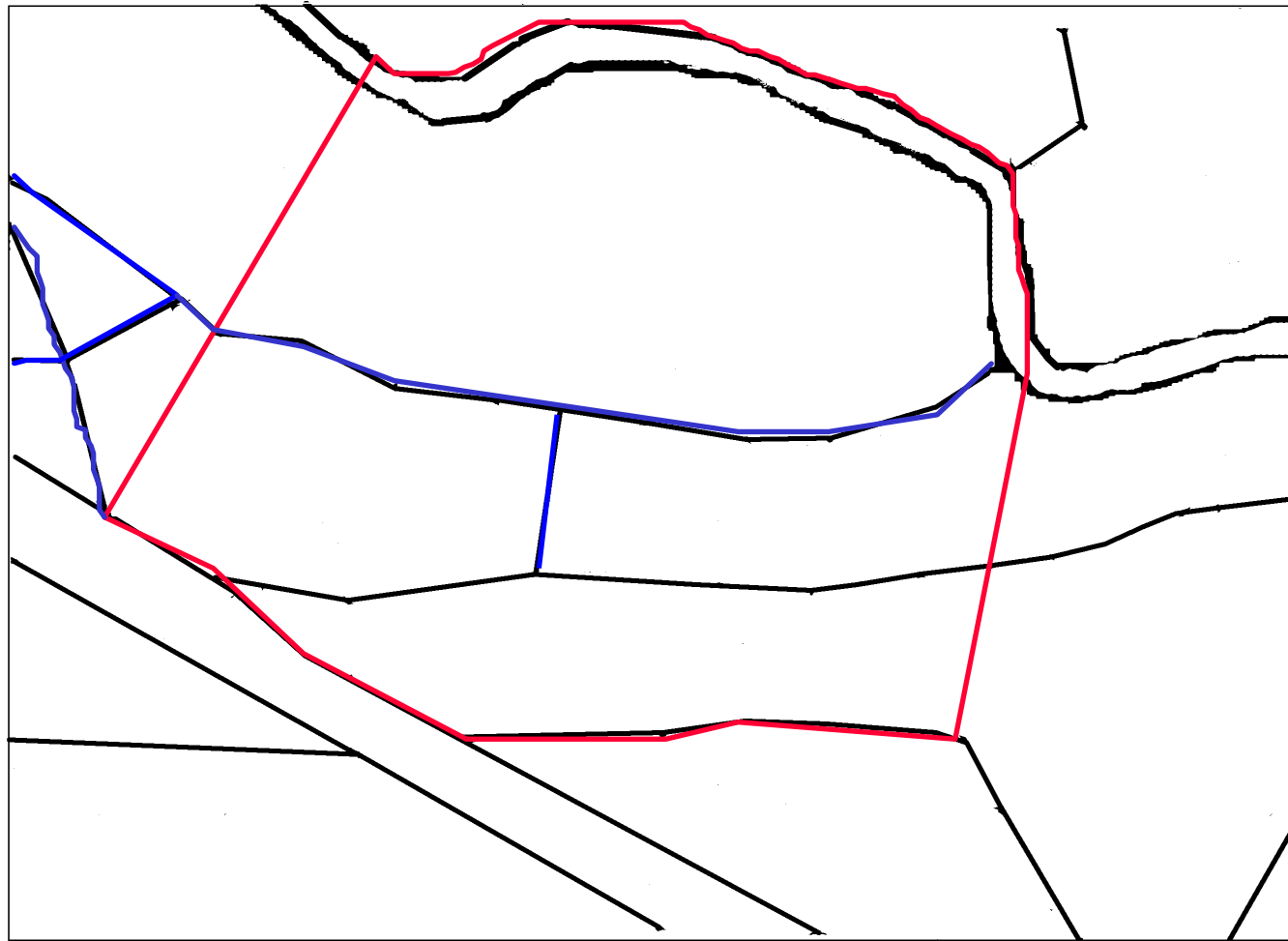


Blank map of site before mapping



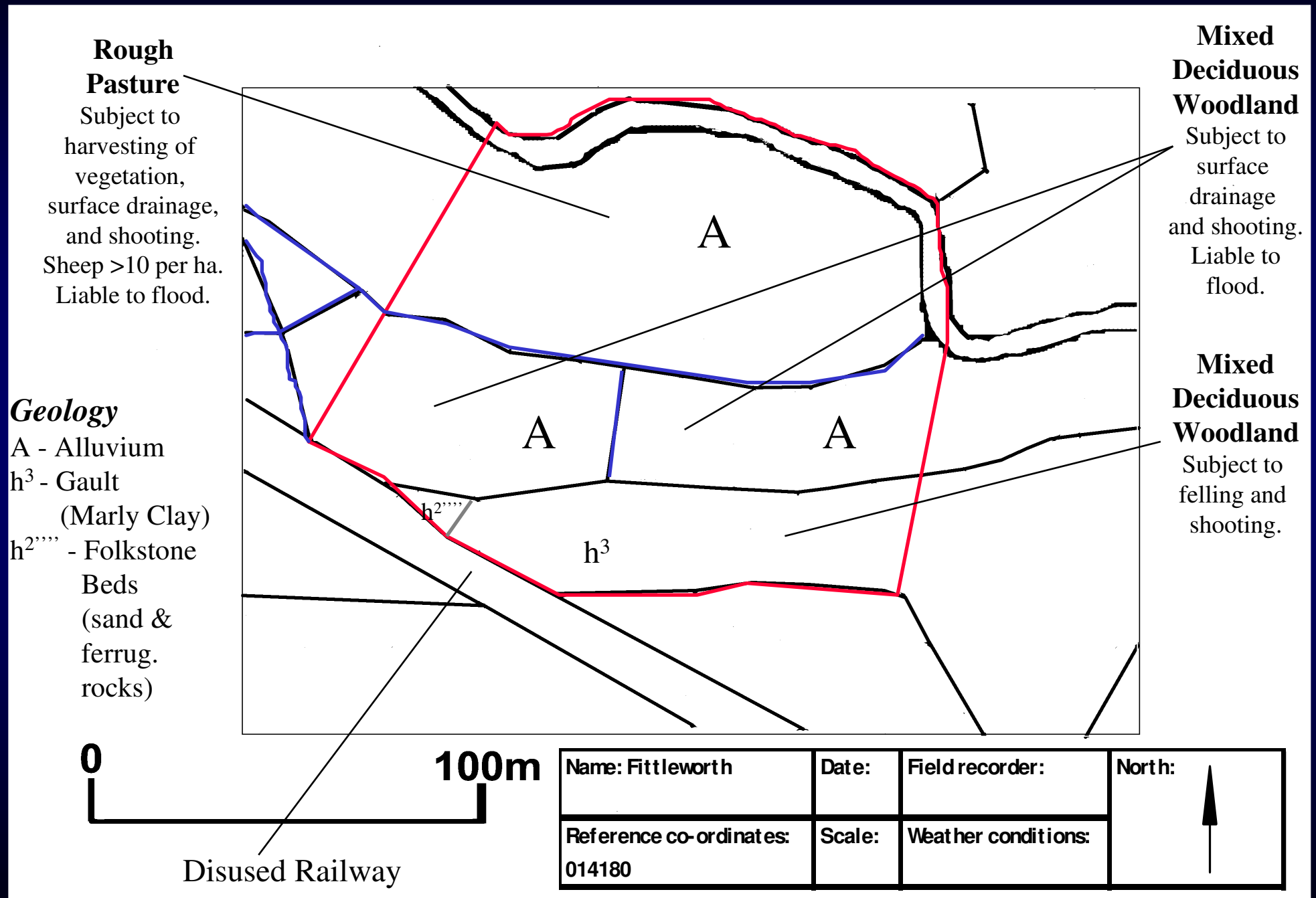
0 100m

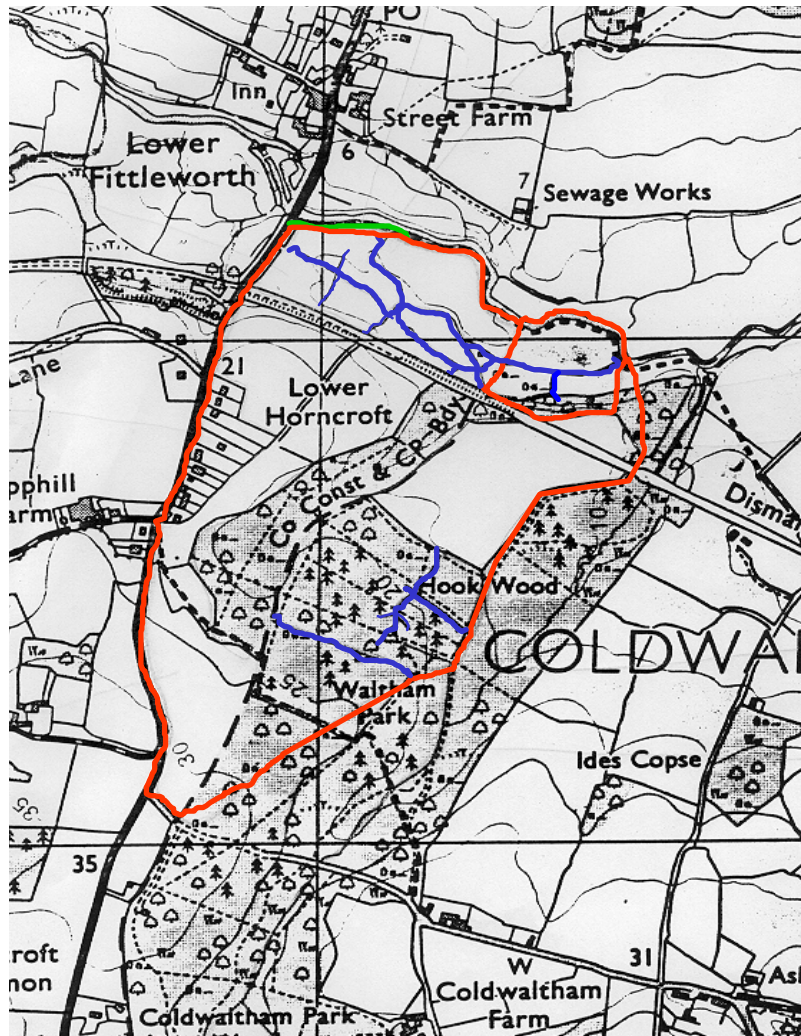
Site map showing assessment area and ditches



Name: Fittleworth	Date:	Field recorder:	North: ↑
Reference co-ordinates: 014180	Scale:	Weather conditions:	

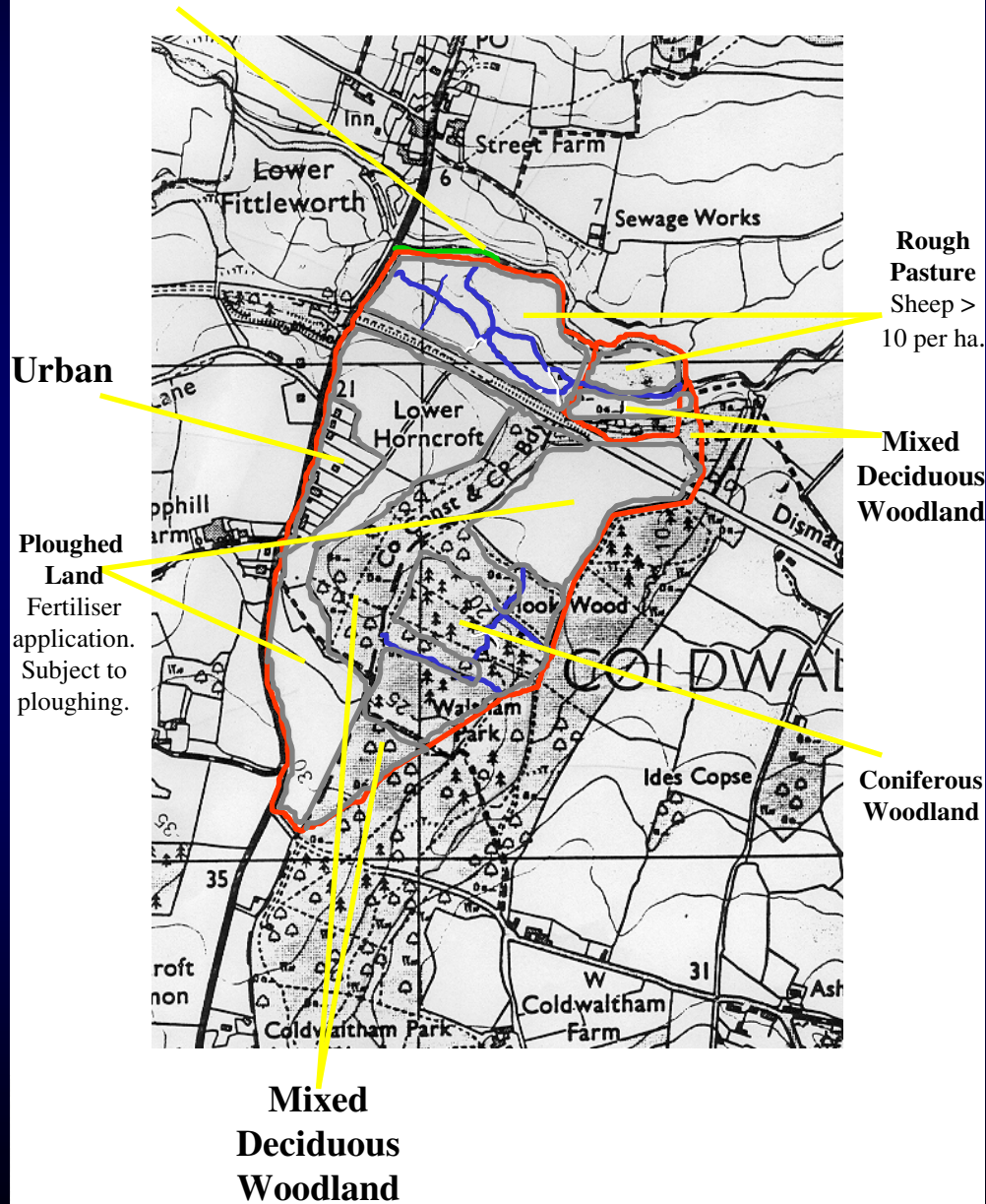
Land use and geology





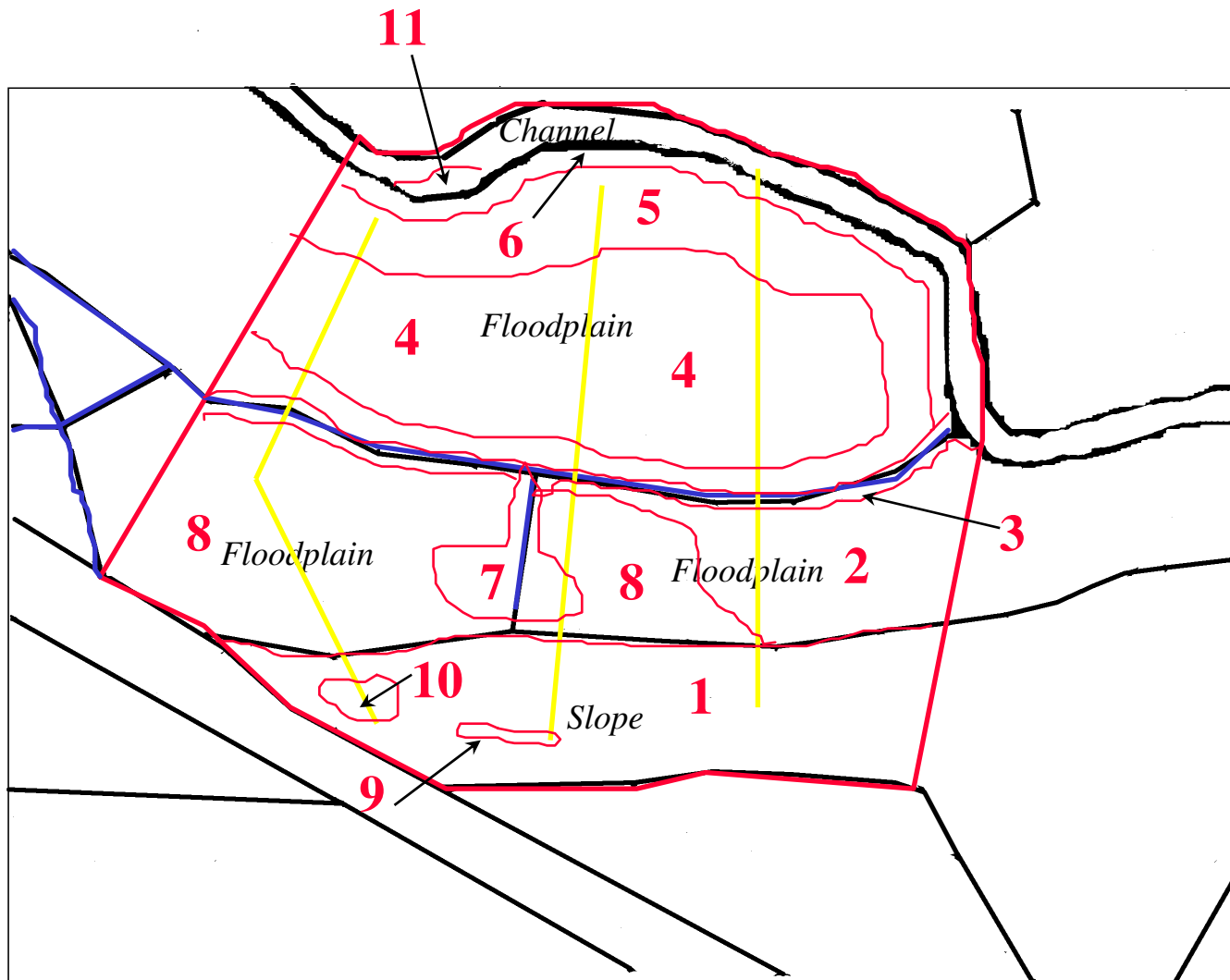
Map of area
contributing to
assessment area

Embankment



Land use of area contributing to assessment area

Field mapped assessment area



Name: Fittleworth	Date:	Field recorder:	North: ↑
Reference co-ordinates: 014180	Scale:	Weather conditions:	

Generic Wetland Evaluation Tool

Generic Wetland Evaluation Tool

Ver. 01

MENU 1

Help

Enter Site Information and validate

Assessment Area Name: Val

Number of HGMUs: Val

then Select an item

DATA MANAGEMENT

PROCEDURES

Change data for a New Scenario

Quit





Ver. 01

MENU 2

Generic Wetland Evaluation Tool

RECORDING SHEET 1

Climatic Information

Landuse and Management

Conservation and Protection Status

River/lake Water Information

GroundWater, Catchment, Trace Element Information

PERFORM PROCEDURES

Quit

Display by PROCESS - HGMU

Menu 1

REPORT

RECORDING SHEET 2

RECORDING SHEET 3

Information from maps or neighbouring areas



Ver. 01

Generic Wetland Evaluation Tool

MEN

Process Output

HGMU n°2

(P1) 3.1.A: Floodwater detention (Hydrological process)

Code= 1/4a

It is evident that the HGMU floods frequently with stream/lake water. It is not known what contribution is made to alleviation of downstream floods. Flow velocity is probably low and flood duration long.

Menu 2

Quit

Menu 1

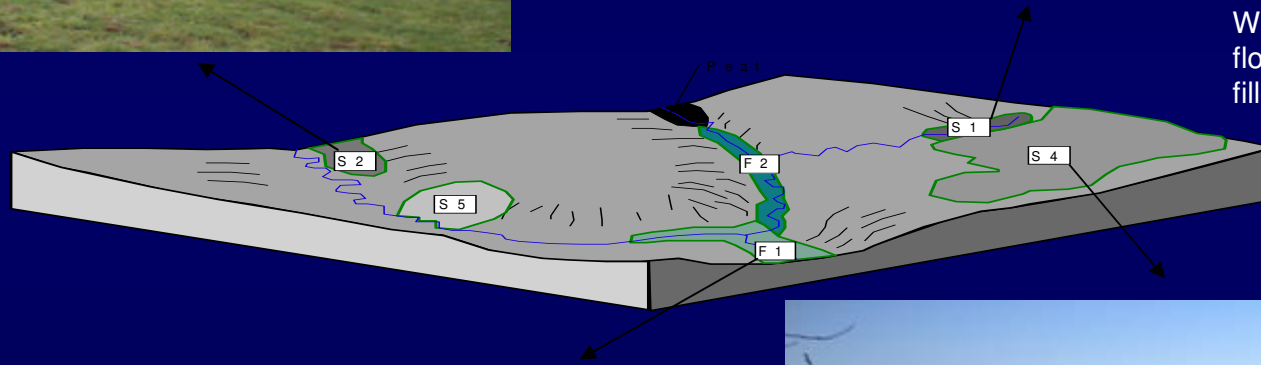
Functional Landscape units



Wetland developed in zone of strong groundwater seepage adjacent to floodplain.



Wetland in valley bottom lacking floodplain development, can be peat-filled.



Floodplain of flashy river system can experience short periods of inundation from over-bank flooding.



Fen and wet grassland on soils of low permeability on gently-sloping interfluvies running down to stream headwaters.

Unit	Functions				
	Plant uptake	Storage in organic matter	Nsorb as ammonium	Psorb/pptn in soil	Retention of particulate nutrients
<i>Floodplain</i>					
F1	No/little (infrequent flooding)	No	No	No	No/little (infrequent flooding)
F2	Yes	Yes/little (variable organic matter)	Yes	Yes (if soil is clay)	Yes
F3	Yes	Yes	Yes	Yes (if clay soil)	Yes
<i>Slope</i>					
SL1	Potential (if nutrient inputs via surface water)	No/little (very few wet peaty areas)	Potential (if nutrient inputs via surface water)	Potential (if clay soils and nutrient inputs via surface water)	No
SL2	Little (low nutrient inputs via ground water)	Little (high organic matter but low nutrient inputs)	Little (low nutrient inputs)	No (organic soils and low nutrient inputs)	No
SL3	Little (low nutrient inputs via ground water)	Little (high organic matter but low nutrient inputs)	Little (low nutrient input)	No (organic soils and low nutrient inputs)	No
SL4	Potential (but nutrient inputs damage ecology)	Potential (but nutrient inputs damage ecology)	Potential (but nutrient inputs damage ecology)	Potential (but nutrient inputs damage ecology)	No
SL5	No/little (slope limits detention of nutrients)	No/little (very few wet peaty areas)	No/little (slope limits detention of nutrients)	No/little (slope limits detention of nutrients and few clay soils)	No

Common Implementation Strategy for the Water Framework Directive



Horizontal Guidance Document on the Role of Wetlands in the Water Framework Directive

An assessment by E.Maltby and M.Blackwell based on
the work of the Wetlands Working Group

Wetlands and the WFD - a crosscutting issue

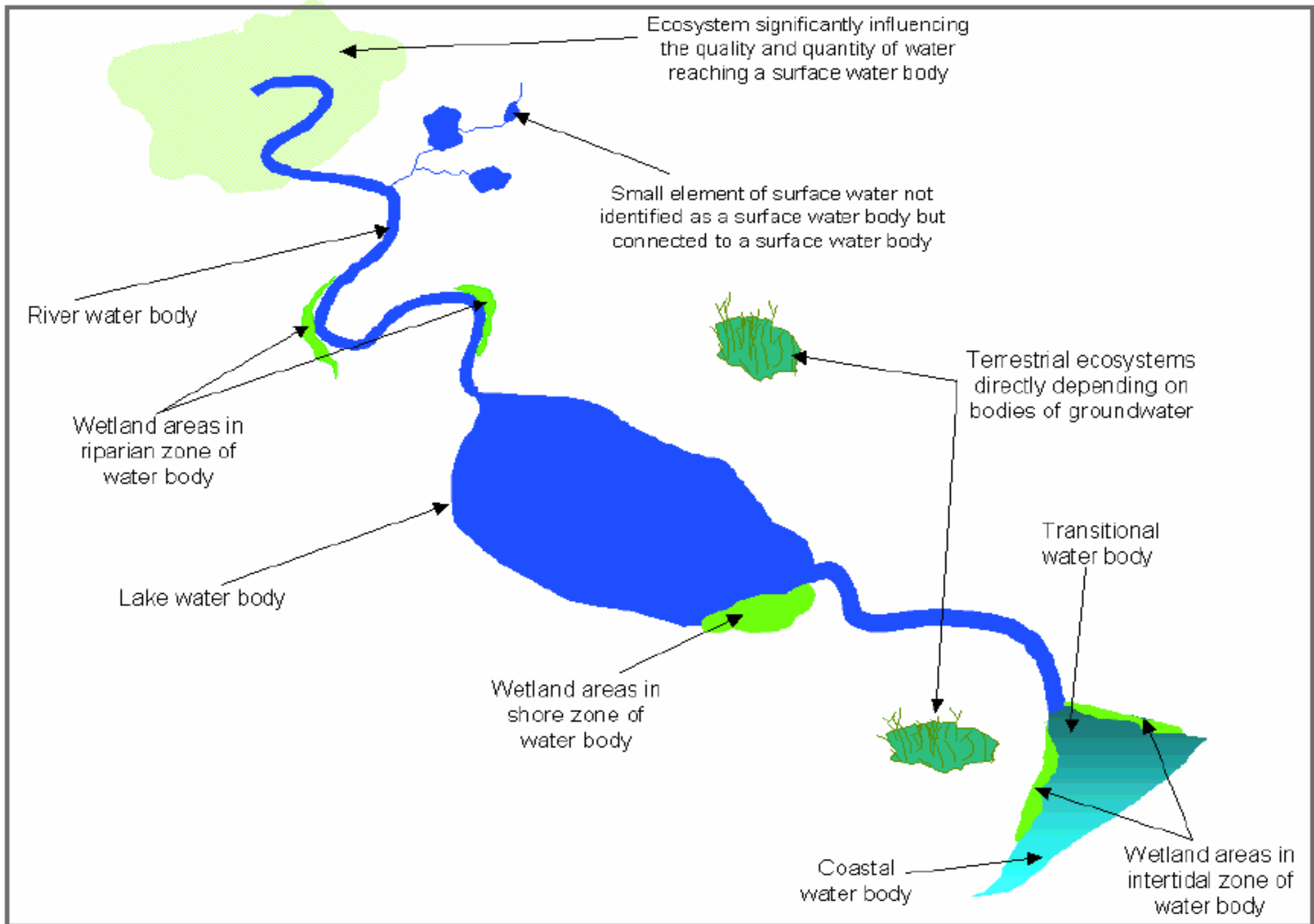
Wetlands can comprise part or all of the ecosystems designated for protection within the WFD

Wetlands can contribute to the protection and enhancement of ecosystems specified within the WFD

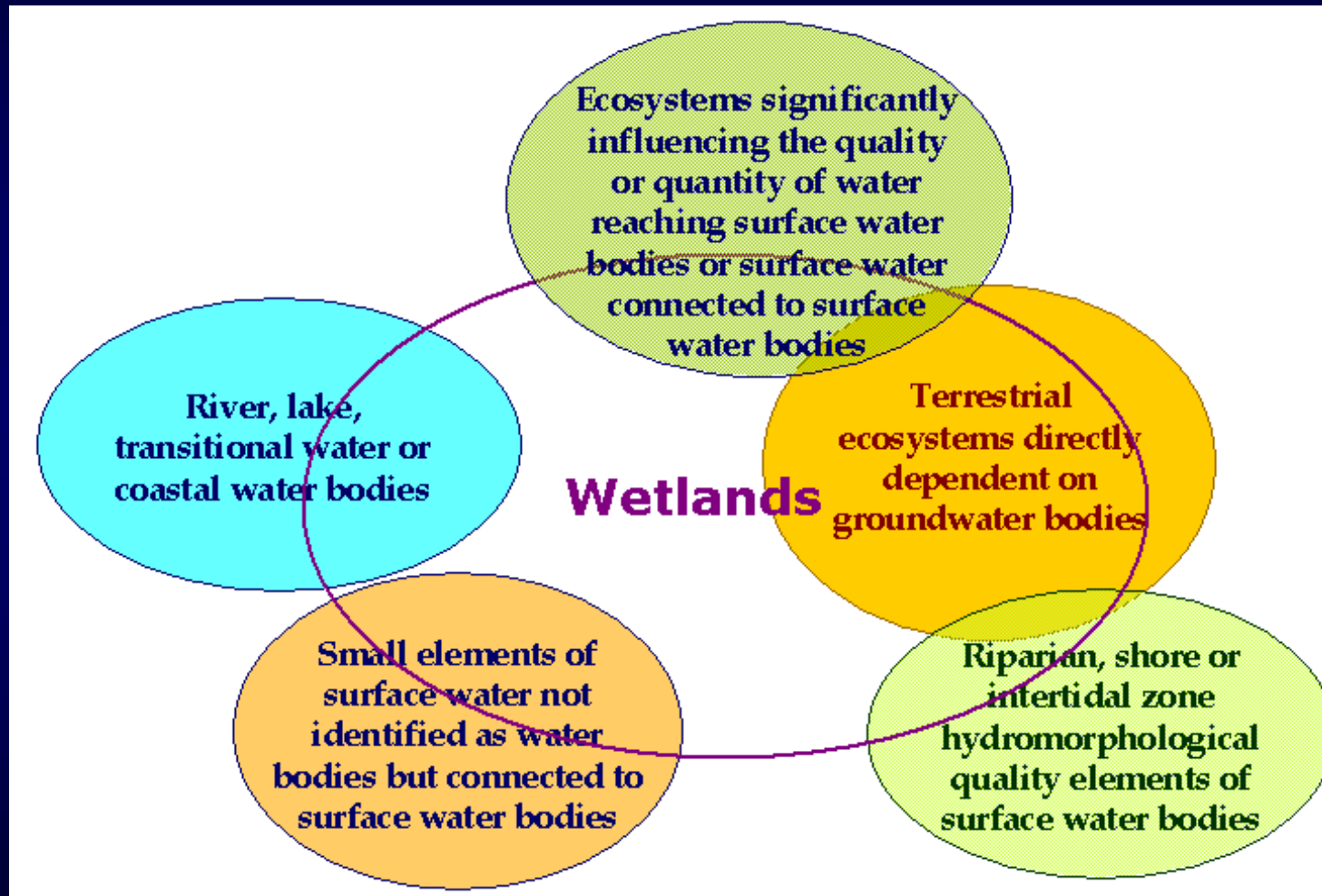
The purpose of the WFD in relation to wetlands as stated in Article 1 is unambiguous. Article 1(a) states that the Directive will

'establish a framework for the protection of inland surface waters, transitional waters, coastal waters and groundwater, which:

*'prevents further deterioration and protects and enhances the status of aquatic ecosystems and, with regard to their water needs, terrestrial ecosystems and **wetlands** directly depending on the aquatic ecosystems.'*



Ecosystems relevant to the achievement of the Directives objectives



Wetlands and the Water Framework Directive

Issues

Wetlands have the capability and potential to help deliver the objectives of the WFD, but they are not the subject of specific emphasis in the Directive

- Regulatory and other responsible agencies are wrestling with questions of how to implement the WFD
- This is a particular issue with regard to wetlands

Specific aims of Directive:

Wetland functioning may help to deliver a number of the specific aims of the WFD

- mitigation of floods and droughts (article 1)
- reduction of pollution of groundwater (article 1)
- the provision of good quality surface and groundwater (article 1)
- contribution to ecological status of surface waters (article 4)
- balance between groundwater abstraction and recharge (article 4)

Wetlands & WFD

Duality of the Link

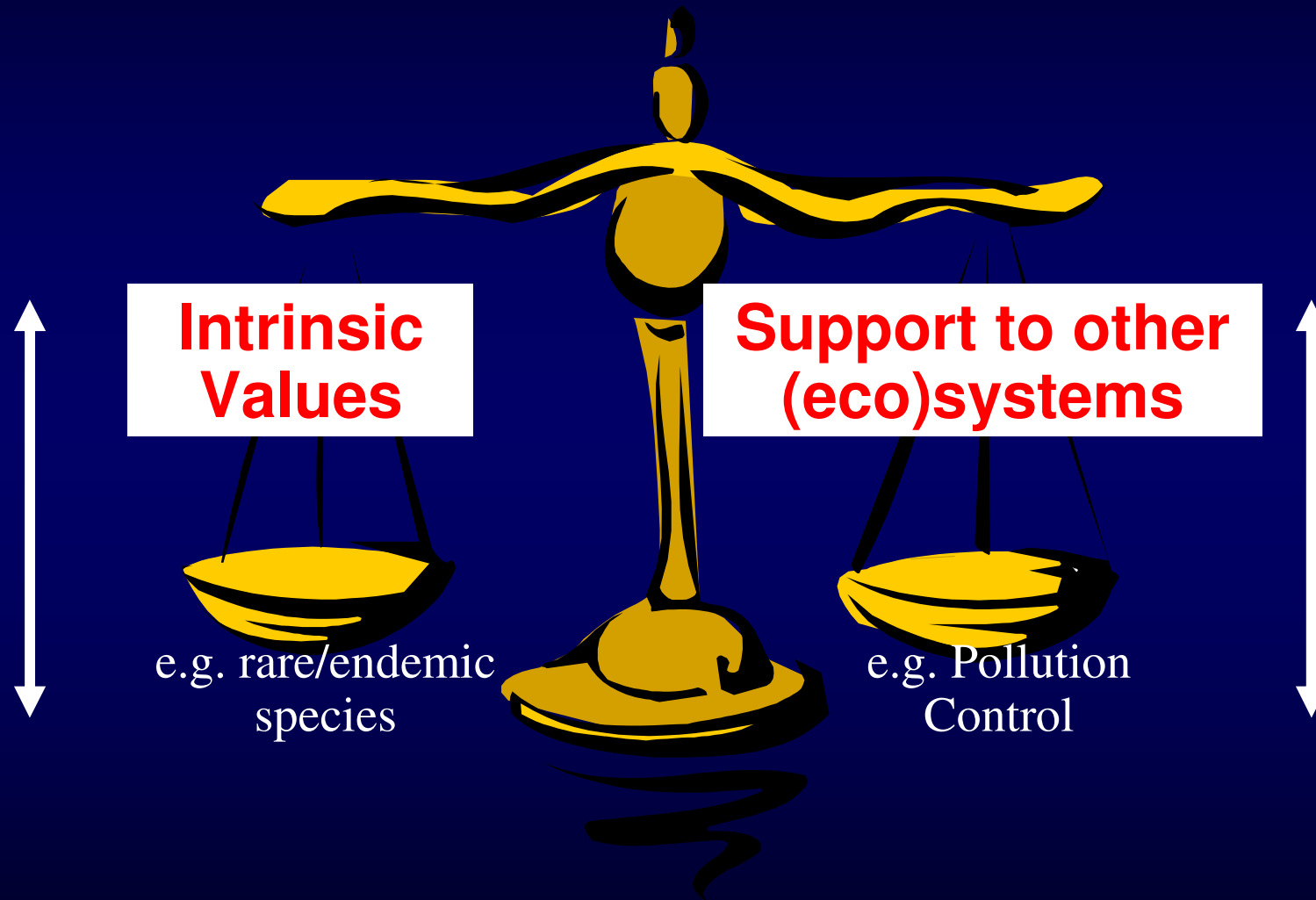
Wetland protection



Contribution to water management objectives

FUNCTIONAL CONTINUUM		STRATEGY	
Conservation	↔		Utilitarian
Designation	↔		Management
Maximise	↔		Optimise/select
Site	↔		Catchment
Ethic	↔		Options
DECISION-MAKING DILEMMAS			

Wetlands - Questions of Balance



EVALUWET Objectives

- To establish a harmonised approach amongst European environmental agencies and stakeholders to the implementation of wetland relevant legislation, especially the WFD.
- To develop a Wetland Evaluation decision support system (WEDSS) which integrates wetland function and value information.
- To develop a catchment scale functional evaluation methodology for application across Europe that fulfils the requirements of the WFD.
- To develop a model for socio-economic valuation and decision making.

Definitions and Terminology:

New Functional Definition

“Wetlands are heterogeneous but distinctive ecosystems in which special ecological, biogeochemical and hydrological functions arise from the dominance and particular sources, chemistry and periodicity of inundation or saturation by water. They occur in a wide range of landscapes and may support permanent shallow (<2m) or temporary standing water. They have soils, substrates and biota adapted to flooding and/or waterlogging and associated conditions of restricted aeration.”

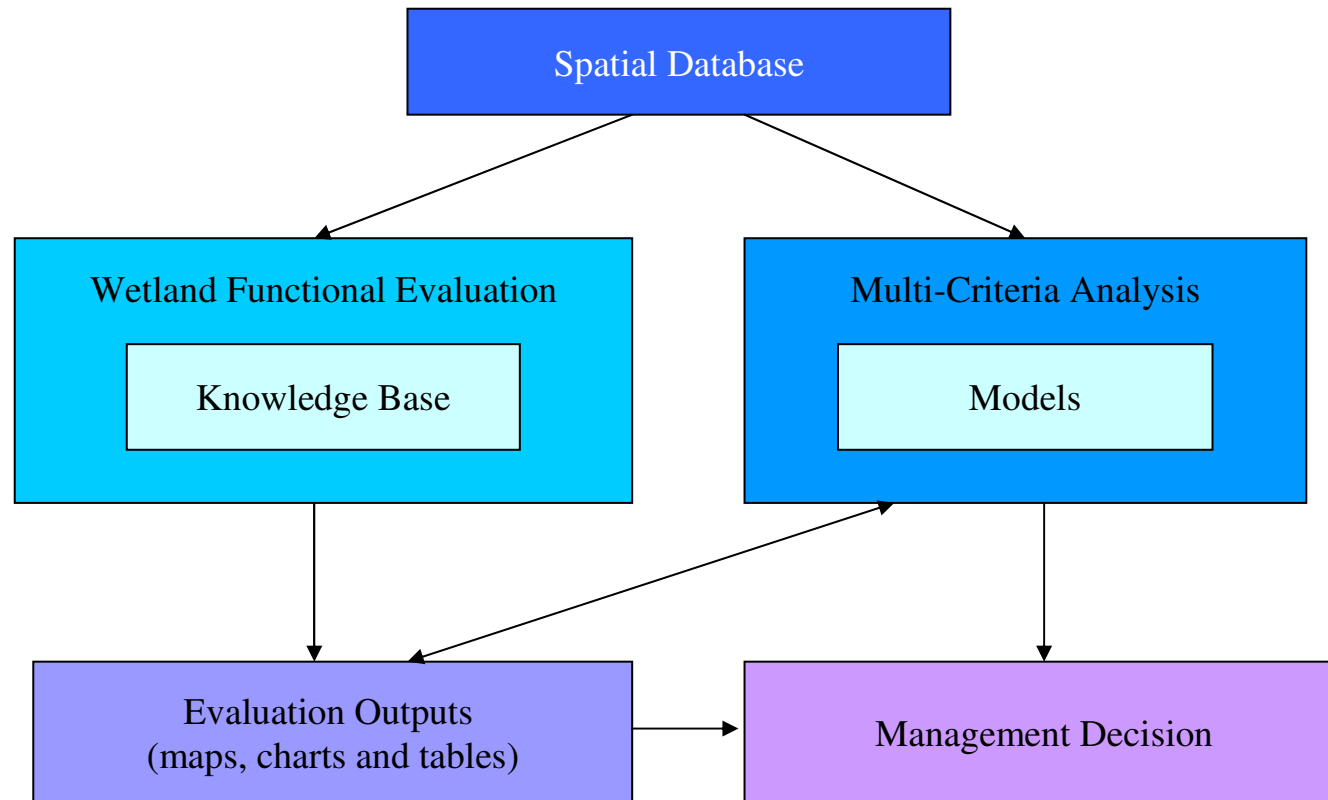
Notes (excluding):

- Deeper water bodies.
- Permanent rivers and streams per se.
- ‘Other RAMSAR types’.

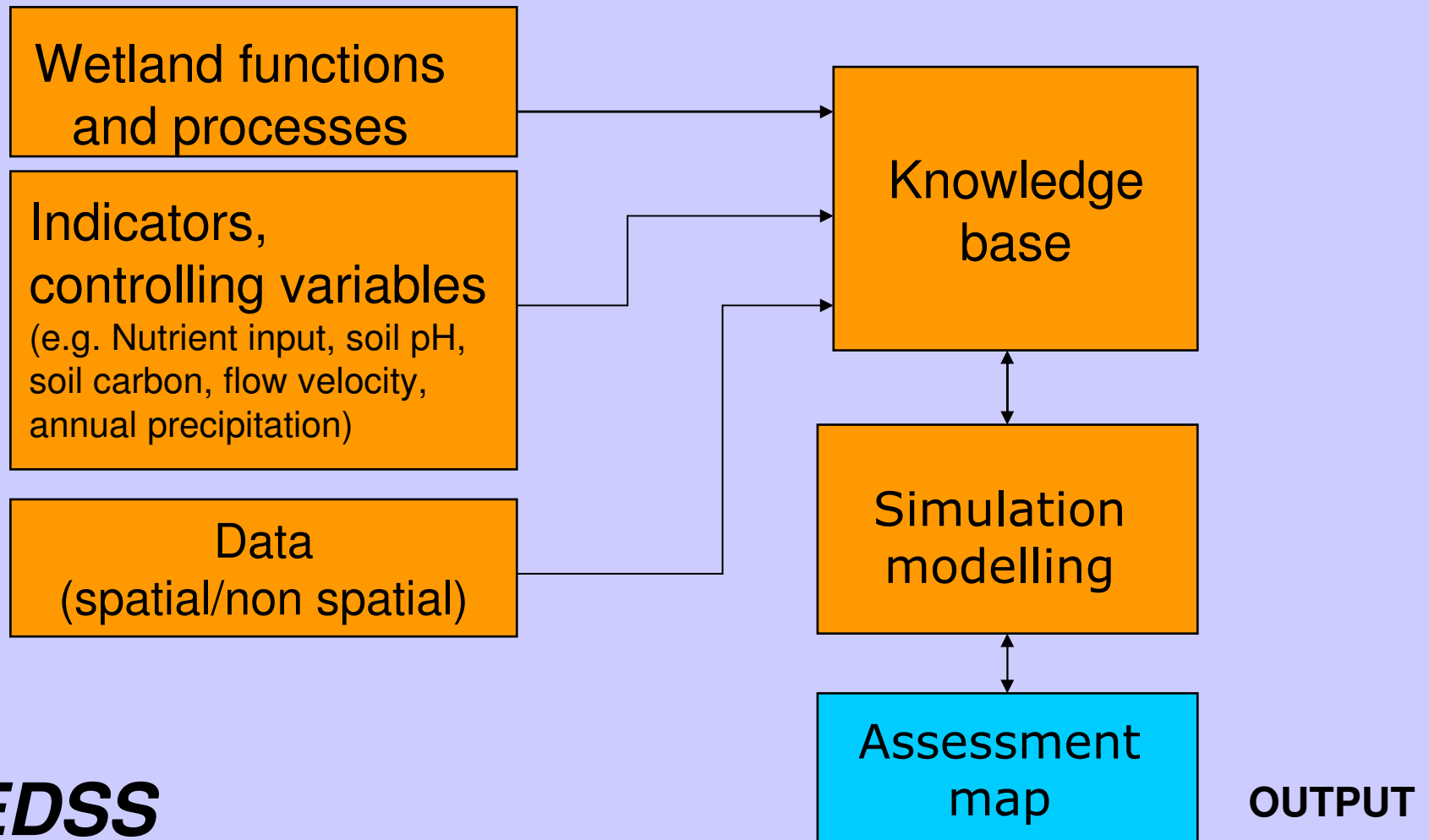
WEDSS - Key Steps

- Data input: Delineation and characterisation of hydrogeomorphic units (field and office)
- Functional assessment of each HG MU and production of maps
- Linkage of functional outputs with 'value' criteria in each HG MU
- Spatial aggregation of 'values' to produce score for whole wetland
- Comparison of different wetlands or scenarios using multi-criteria analysis

WEDSS Structure

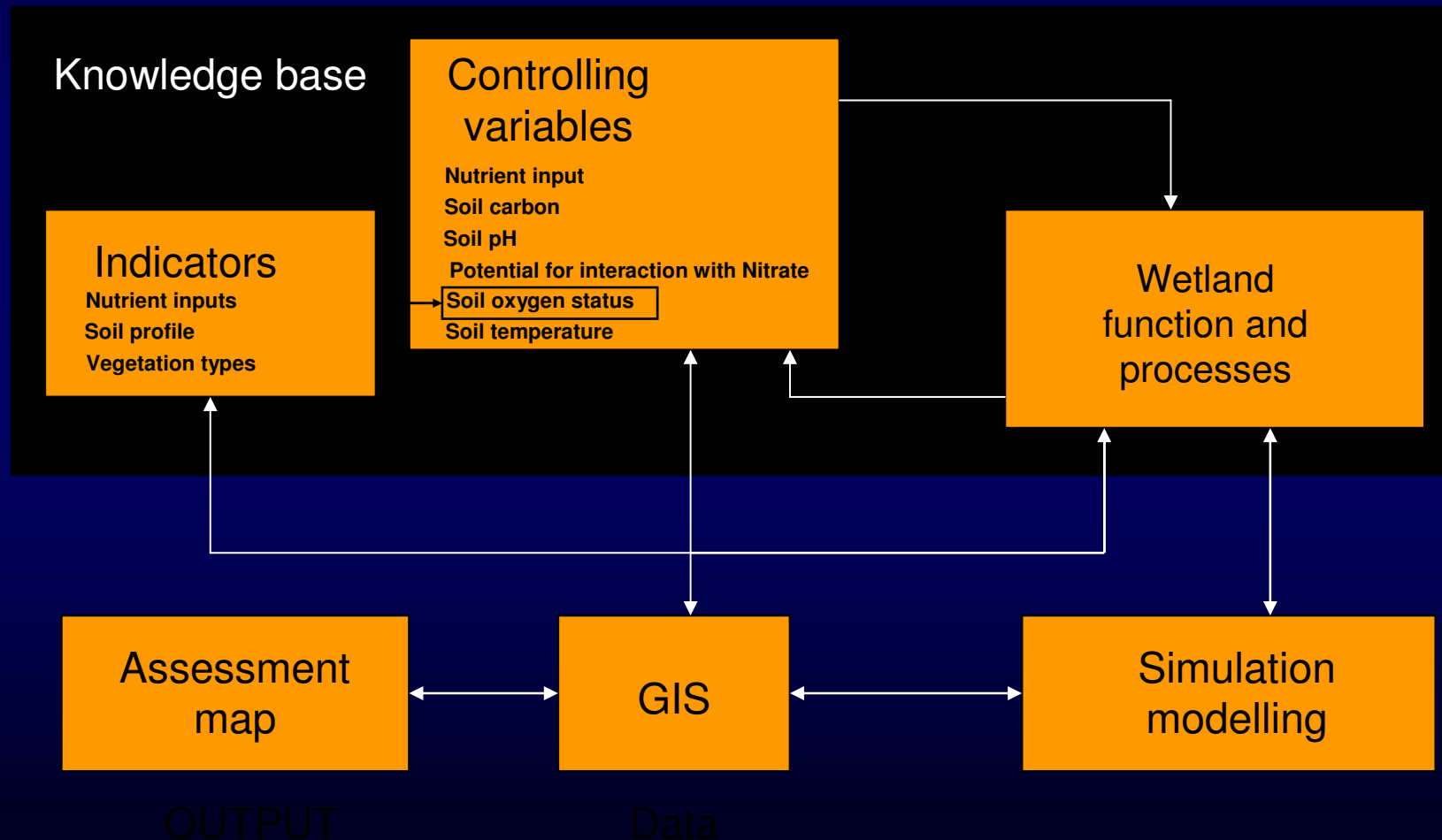


Knowledge Base and WEDSS



KB Example: Nutrient Export

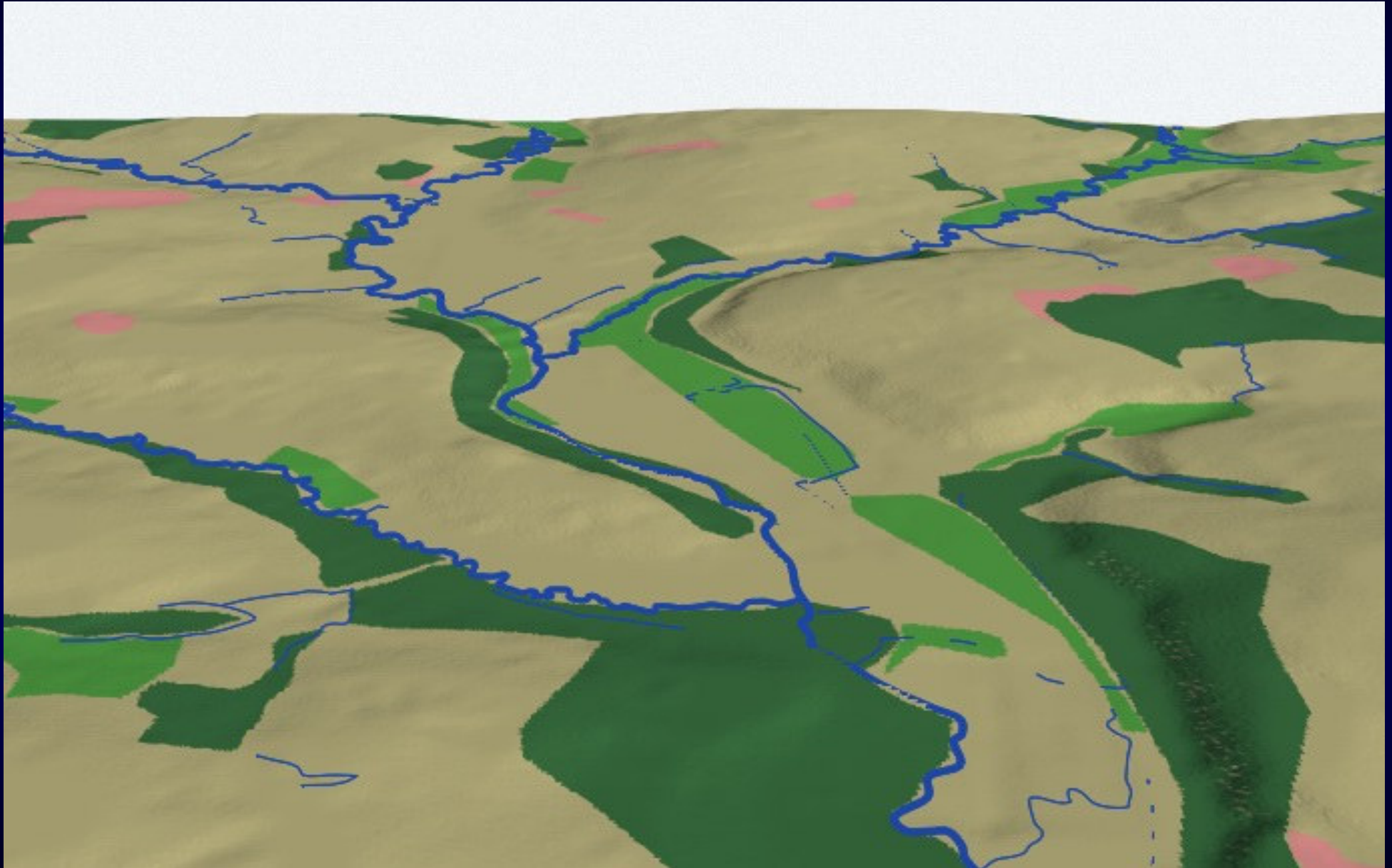
removal of excess nutrients (nitrogen and/or phosphorus) from a wetland via biological, biochemical, physical and land management processes.



Typical landscape



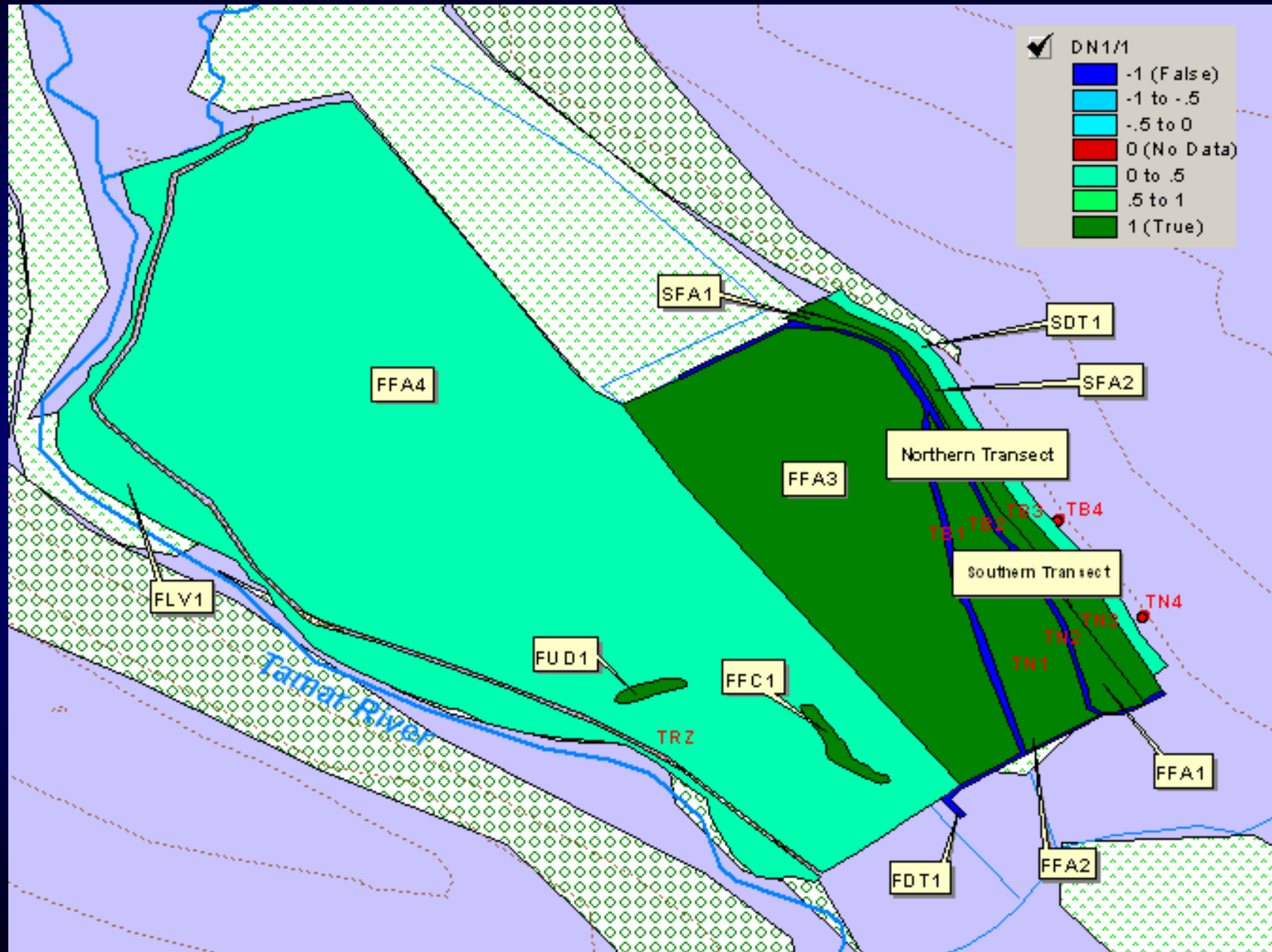
HGMU delineation



HGMU delineation



Assessment Outcome







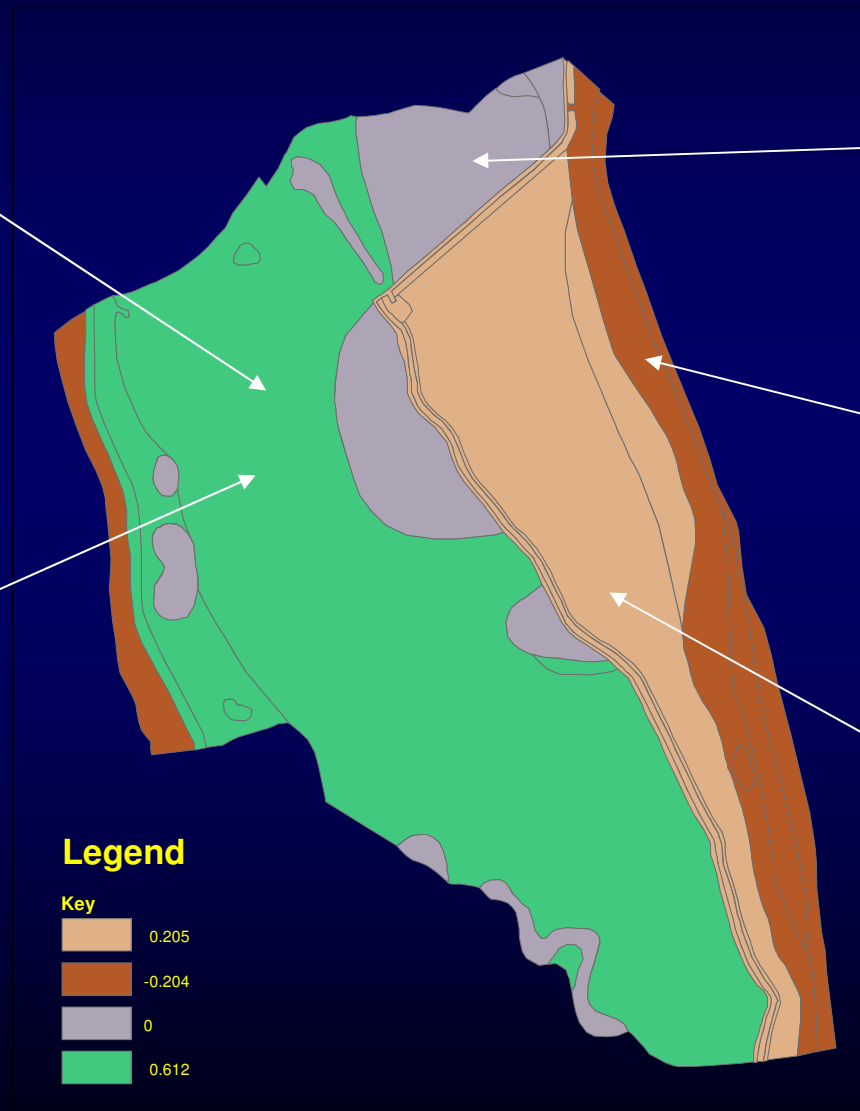
Export of Nutrients through Vegetation Management

Quantification:
 $> 10 \text{ but } < 50 \text{ kg N ha}^{-1} \text{ y}^{-1}$
 $> 1 \text{ but } < 5 \text{ kg P ha}^{-1} \text{ y}^{-1}$

1/d: The HGMU receives either a direct or indirect nutrient input. Grassland vegetation indicative of a high rate of nutrient uptake is present. The HGMU experiences a low degree of disturbance from drought, waterlogging, ploughing, mining or extraction or construction work, so the ability of plants to take up nutrients is not affected. Grazing results in the export of nutrients taken-up by the plants. The amount of nutrients exported through grazing is always less than the amount of nutrients exported through harvesting, because not all vegetation will be grazed and animals spill a lot of (nutrient-rich) plant material i.e. not all plant material will end up in the mouth of the animal. Some material will fall down after it is bitten by animals. This material is more nutrient-rich than plant material deposited after plant mortality because of senescence. This phenomenon is particularly important with regard to geese. Additionally nutrients can return to the system in the form of excrement.

Legend

Key	Value
	0.205
	-0.204
	0
	0.612



No results

2: Shrubs or trees indicative of a high rate of nutrient uptake are present, but they are not felled and removed. NB: The process of long-term retention of nutrients can be performed. This process is assessed in section 3.2.A.1.

2: No (management) activities resulting in the export of nutrients take place. NB: The process of long-term retention of nutrients can be performed. This process is assessed in section 3.2.A.1.

Linking functions to socio-economic criteria

- Generic list of criteria developed relevant ones selected
- Processes linked by simple models to socio-economic criteria (range 0-1)
- Other criteria are not defined by functional outputs but are user defined
- For ease of analysis criteria grouped together in categories
- Scores spatially aggregated

Categories and Criteria

Category	Criteria	Category	Criteria
Water Quality	WQ-N WQ-P WQ-Sediment WQ-Trace Elements WQ-DOC	Water Quantity	Flood risk reduction Groundwater maintenance Base flow support Water supply
Climate change	Carbon retention Greenhouse gas emissions	Heritage	Cultural heritage Landscape Pres. of arch. remains Pres. of paleo-env
Biodiveristy and biomass	Habitat diversity Sp. diversity – flora Sp. diversity – fauna Biomass	Local Economy	Agriculture Natural Harvest Forestry Shipping Residential Recreation Tourism



Results

- Truth Values
- Influence Values
- Tables
- Priority Analyst Models
 - wetland
 - wqn
 - wqp
 - wqsedi
 - floorR
 - aroundW

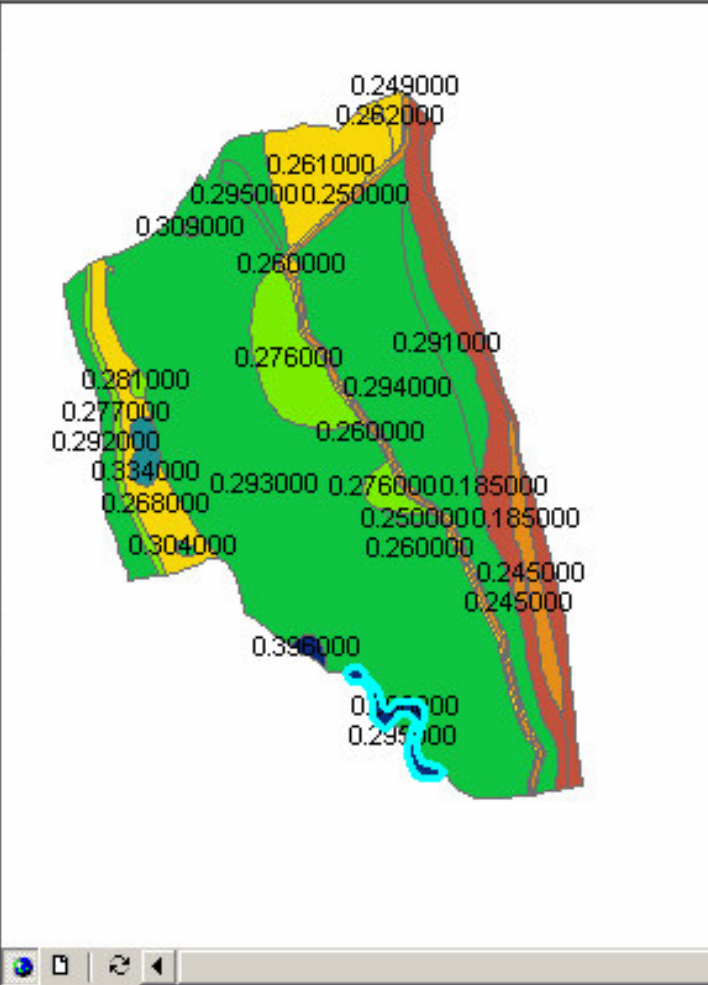
Display Source EMDS

Contributions in PA Model wetland for Feature 2

Contributions of [Level 2] to Restoration Priority

WQuality	0.15
WQuantity	0.10
Climate Change	0.01
Biodiversity and Bio	0.13

Total Priority	0.39

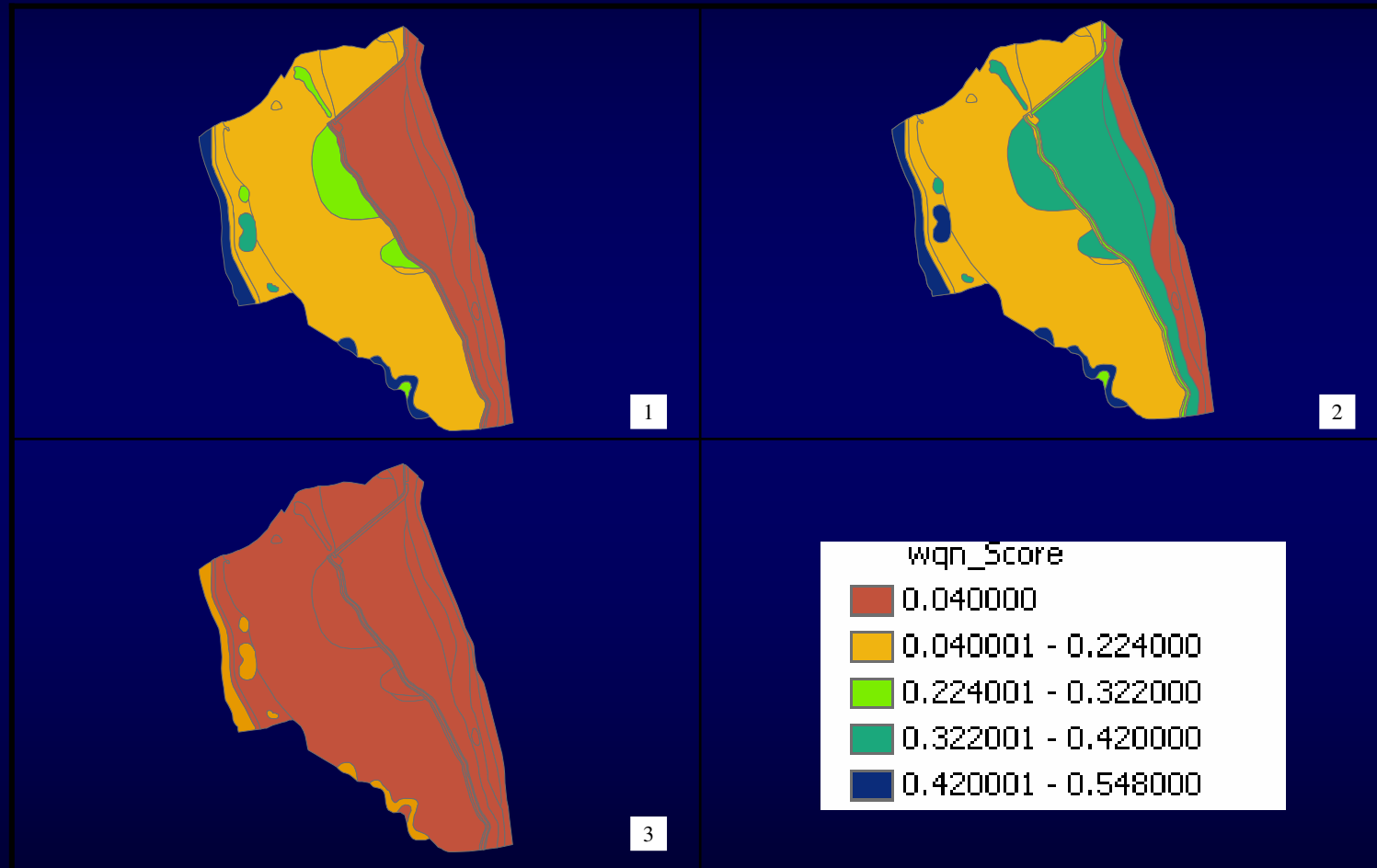


Select Priority Analyst Model for selected database

Priority Analyst Model	Features DB	CDP Path\FileName	PA ID
wetland	OUT.DBF	E:\wedssforspead\wedss\Models\1Mo...	1
wqn	OUT.DBF	E:\wedssforspead\wedss\Models\3Wa...	2
wqp	OUT.DBF	E:\wedssforspead\wedss\Models\3Wa...	3
wqsedi	OUT.DBF	E:\wedssforspead\wedss\Models\3Wa...	4
floorR	OUT.DBF	E:\wedssforspead\wedss\Models\3Flo...	5



Water Quality Enhancement (N)



Conclusions

- A method for functional assessment without the need for empirical research exists
- Functional tools require more critical evaluation
 - *Testing, gap-filling, refinement*
- Statutory and non-statutory bodies need to assess applicability
- DSS needs to evolve into specific problem solving formats
 - *Impacts of changing climate or land use*
- Policy framework needs to integrate scientific understanding
- Implementation of WFD needs to take wetlands into account as part of River Basin Management Plans

Access: Evaluwet website

- The Tamar Catchment Wetland Classification can be accessed online at the following URL:
http://www1.rhbnc.ac.uk/rhier/evaluweb/weds_ims.shtml